### Bε-trees

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#### Last Class

- General principles of write optimization
  - Buffer updates and apply changes in large batches
- LSM-trees
  - Operations (Dictionary API, i.e., key-value store interface)
  - Performance
- LeveIDB SSTables store key-value pairs at each level
- Compaction strategies
  - Size-tiered compact K SSTables together when there is enough data to merge into the next "size tier"
  - Level-tiered compact one SSTable into all SSTables in the next that have overlapping key ranges

#### This Class

- Bε-trees
  - Operations
  - Performance
- Choosing parameters to tune performance
- Compare against B-trees and LSM-trees

# Big Picture: Write-Optimized K-V Stores

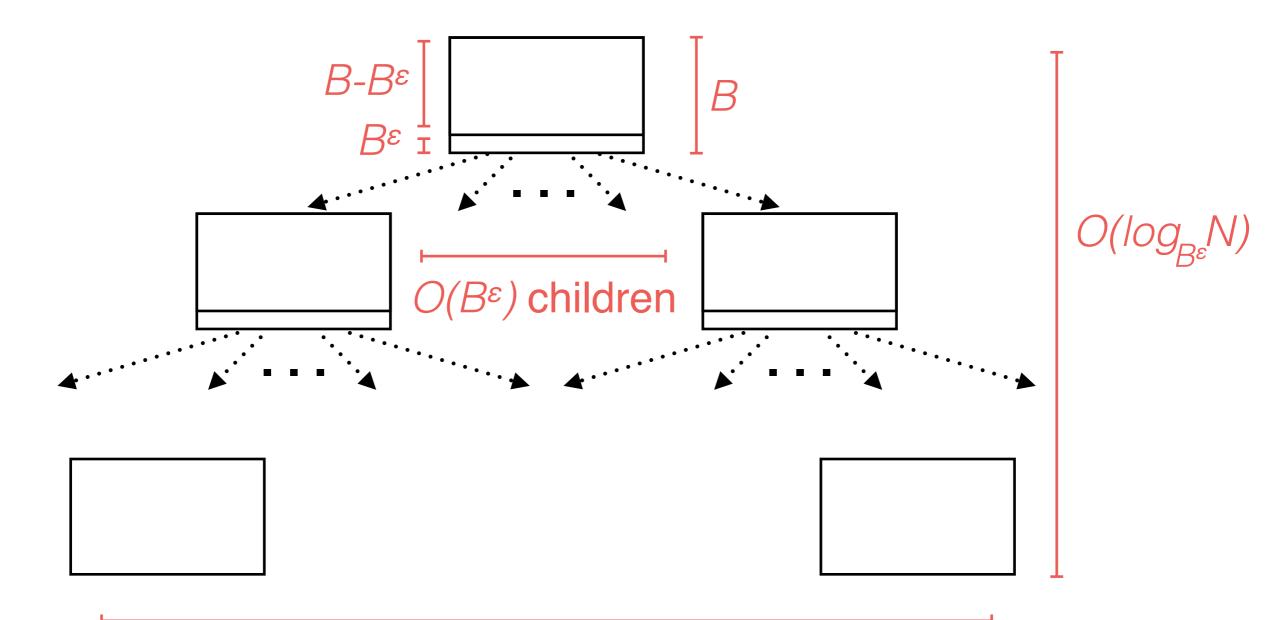
- New class of data structures first developed in the '90s
  - LSM Trees[O'Neil, Cheng Gawlick, & O'Neil '96]
  - Bε-trees[Brodal & Fagerberg '03]
  - COLAS[Bender, Farach-Colton, Fineman, Fogel, Kuzmaul & Nelson '07]
  - XDicts[Brodal, Demaine, Fineman, Iacono, Langerman & Munro '10]
- Queries are asymptotically as fast as a B-tree (at least they can be in "good" data structures)
- Inserts/updates/deletes are orders-of-magnitude faster than a B-tree

### Be-trees [Brodal & Fagerberg '03]

- Bε-trees: an asymptotically optimal key-value store
  - Fast in the best cases, good bounds on the worst-cases
- Bε-tree searches are just as fast as\* B-trees
- Bε-tree updates are orders-of-magnitude faster\*

#### B and ε are parameters:

- B → how much "stuff" fits in one node
- ε → fanout → how tall the tree is



### Be-trees[Brodal & Fagerberg '03]

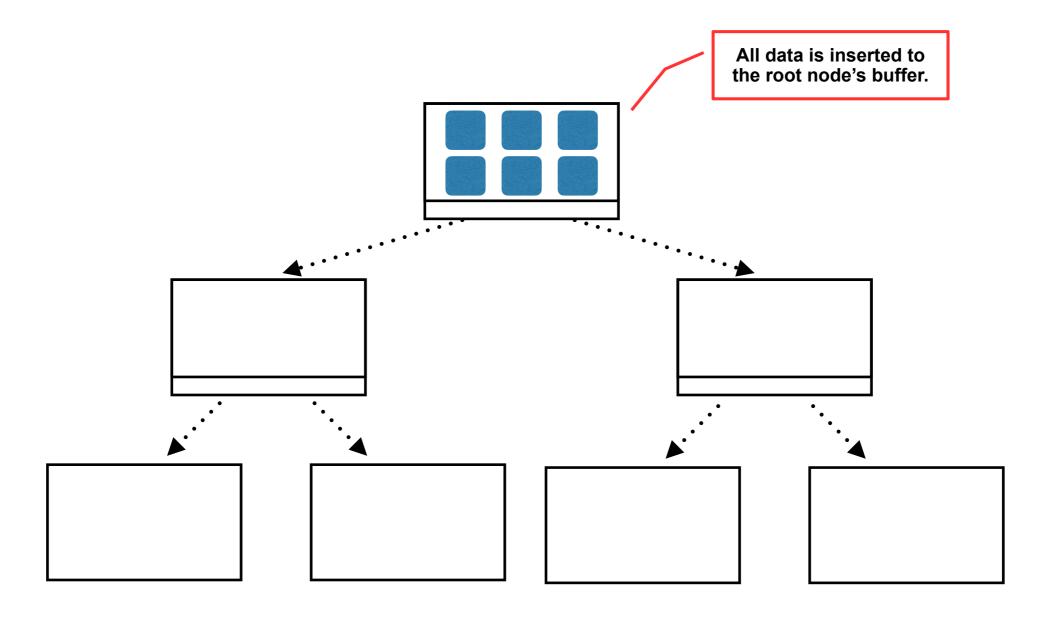
- Bε-tree leaf nodes store key-value pairs
- Internal Bε-tree node buffers store messages
  - Messages target a specific key
  - Messages encode a mutation
- Messages are flushed downwards, and eventually applied to key-value pairs in the leaves

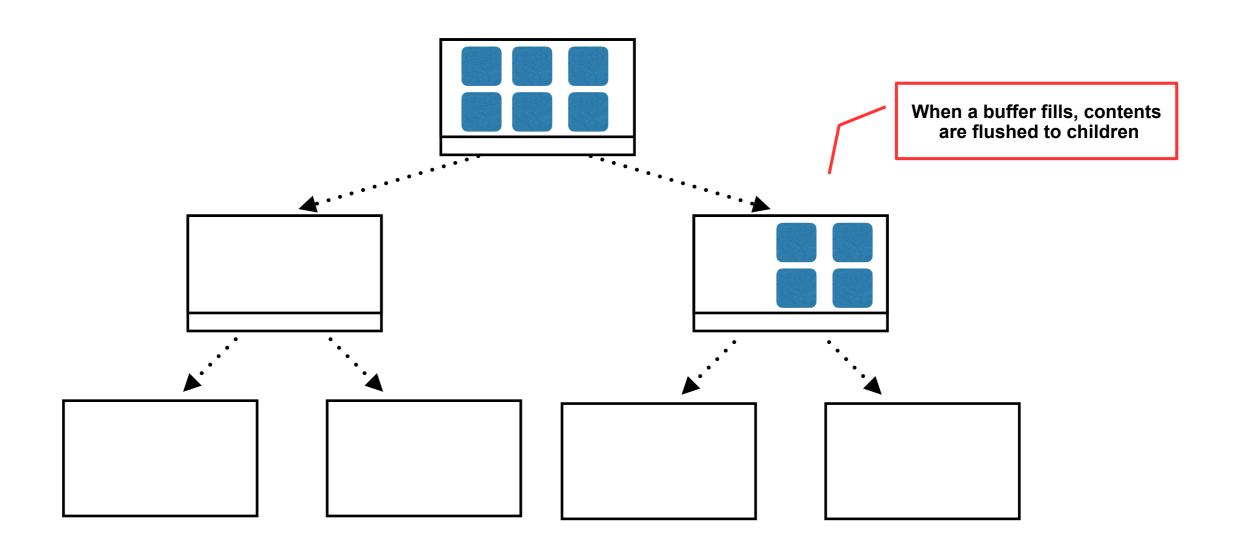
High-level: messages + LSM/B-tree hybrid

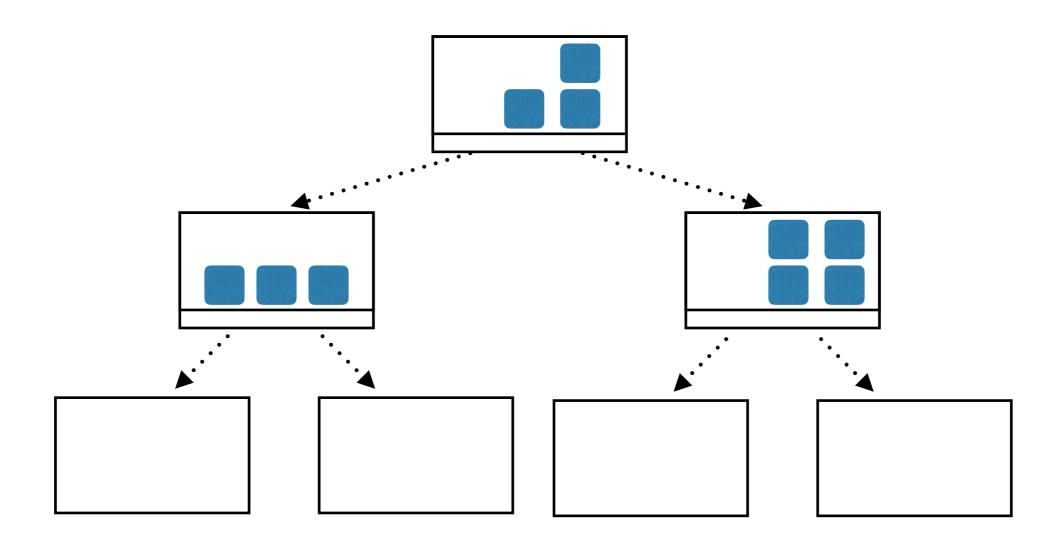
### Be-tree Operations

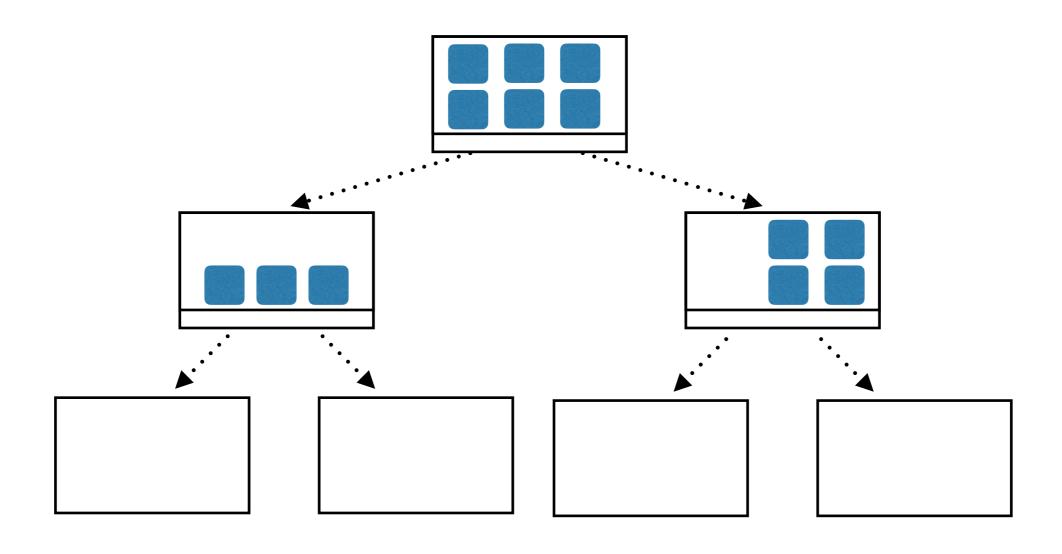
- Implement a dictionary on key-value pairs
  - insert(k,v)
  - $\mathbf{v} = \operatorname{search}(\mathbf{k})$
  - $\{(k_i, v_i), ... (k_j, v_j)\} = search(k_1, k_2)$
  - delete(k)
- New operation:
  - upsert( $\mathbf{k}$ , f,  $\Delta$ )

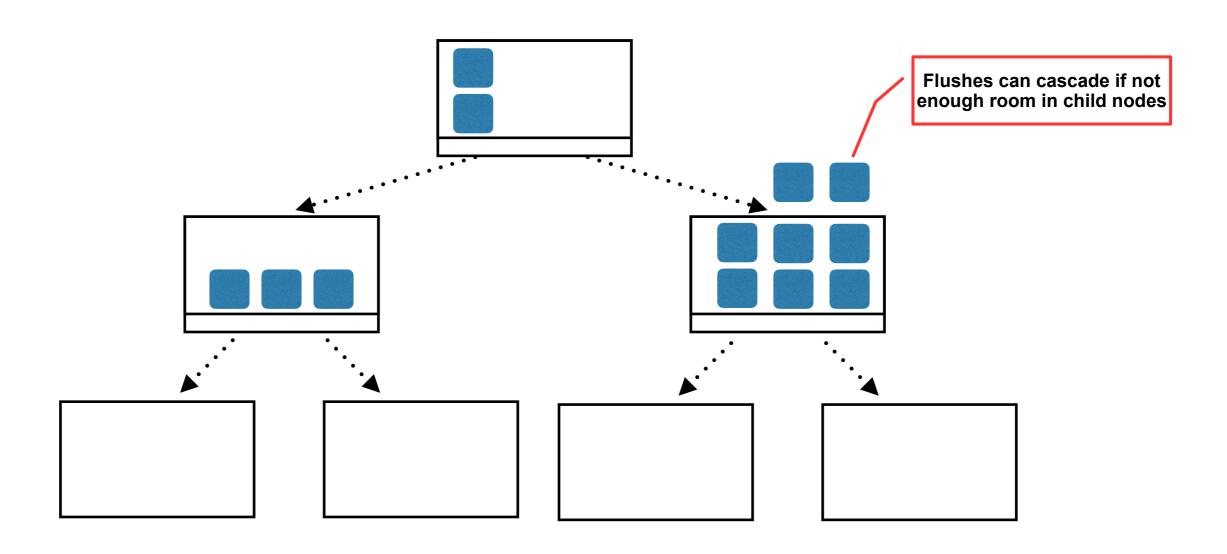
Talk about soon!

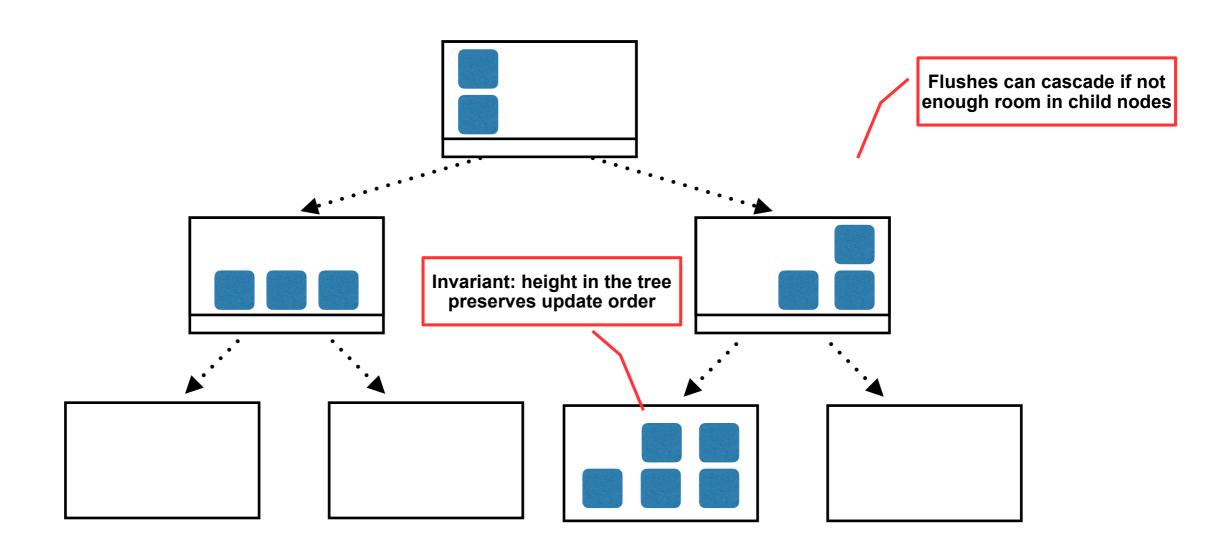


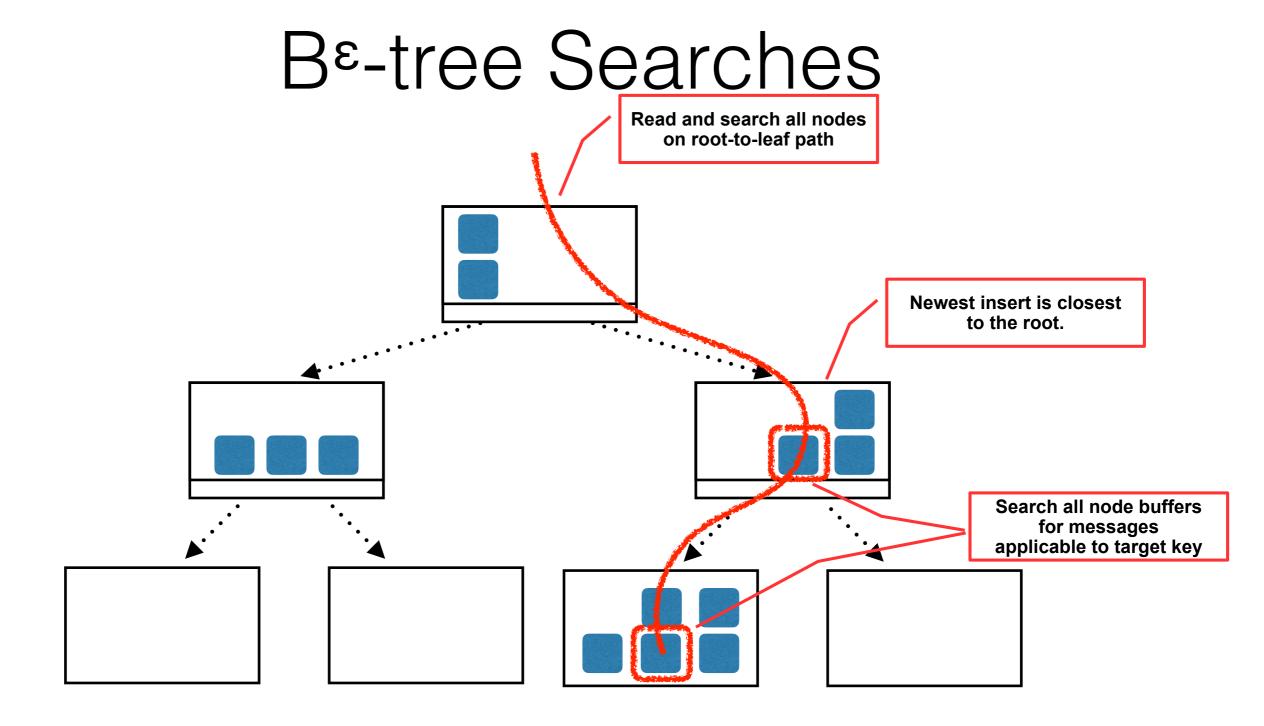












### Updates

In many systems, updating a value requires:

```
read, modify, write — e.g., FFS writes, SSD blocks
```

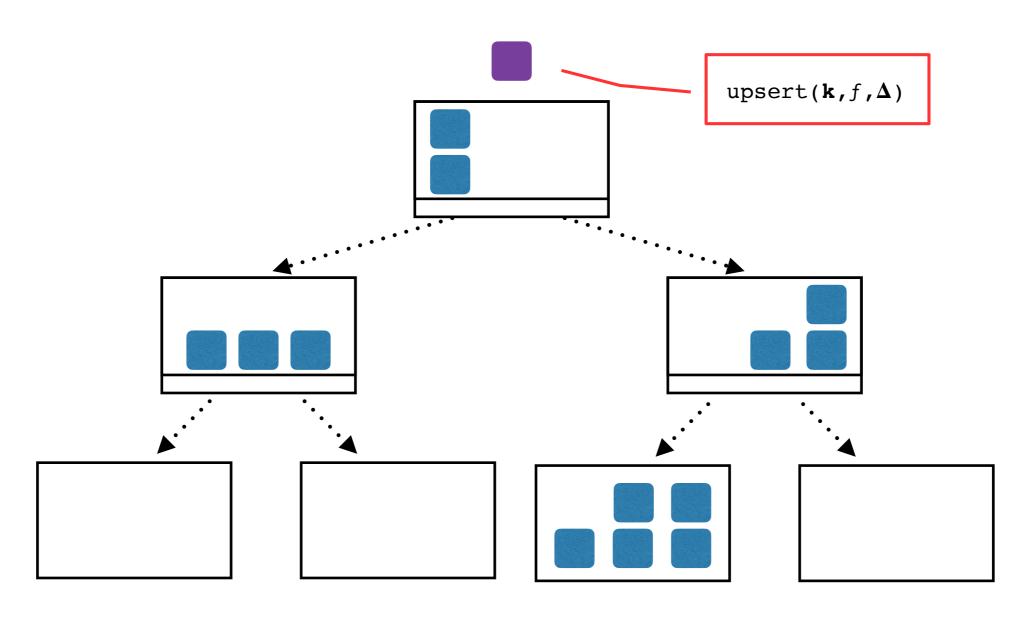
- **Problem:** B<sup>ε</sup>-tree inserts are faster than searches
  - fast updates are impossible if we must search first

```
upsert = update + insert
```

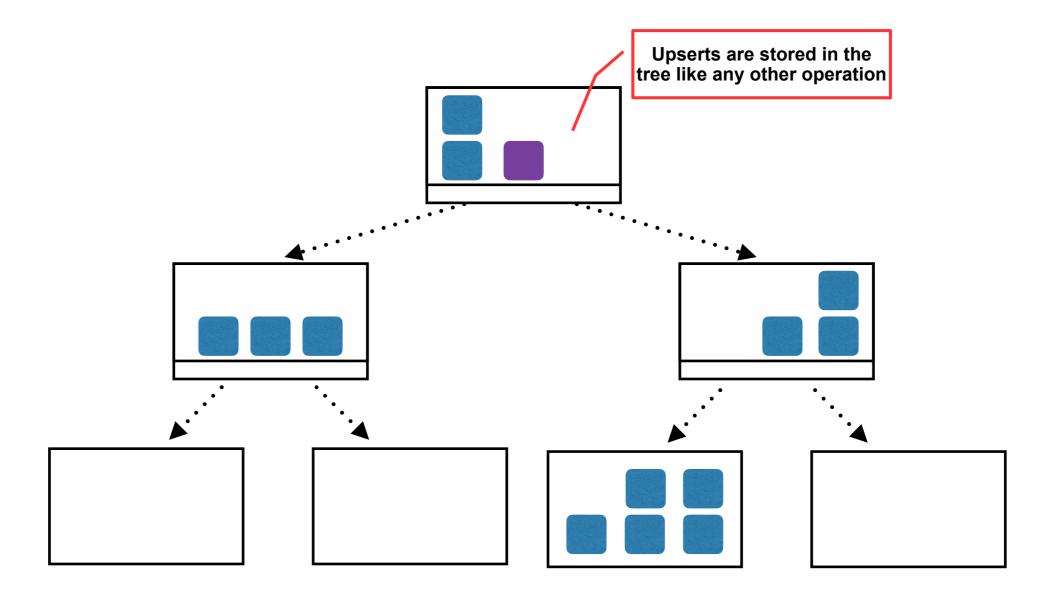
## Upsert messages

- Each upsert message contains a:
  - Target key, k
  - Callback function, f
  - Set of function arguments, \( \Delta \)
- Upserts are added into the Bε-tree like any other message
- The callback is evaluated whenever the message is applied
  - Upserts can specify a modification and lazily do the work

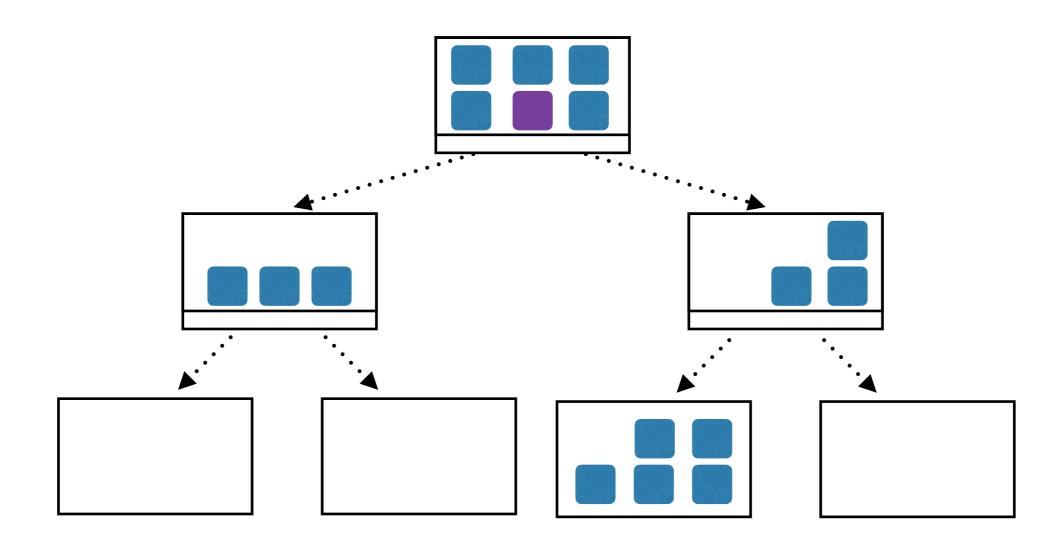
# B<sup>ε</sup>-tree Upserts



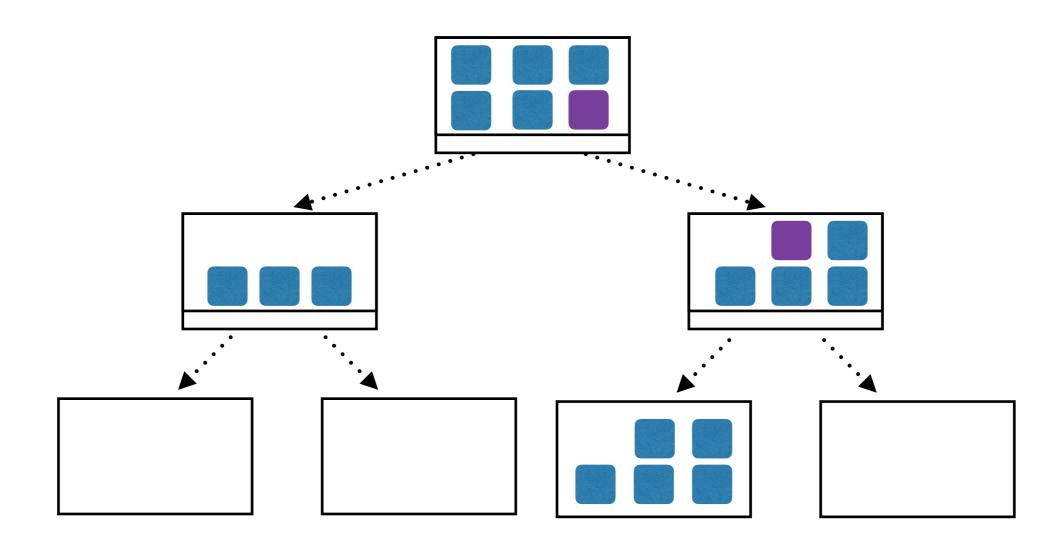
# Be-tree Upserts



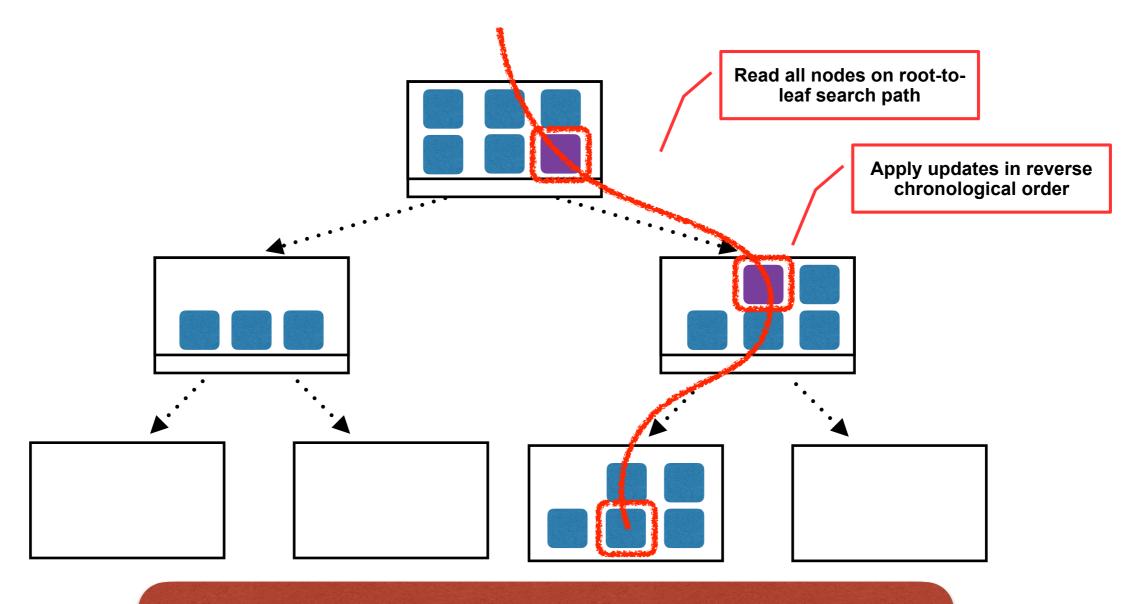
# Bε-tree Upserts



# Bε-tree Upserts



## Searching with Upserts



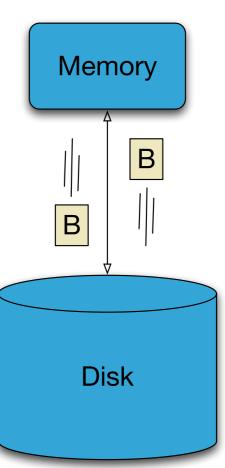
Upserts don't harm searches, but they let us perform **blind updates**.

 What types of operations might naturally be encoded as upserts?

## Performance Model (Refesher)

- Disk Access Machine (DAM) Model<sub>[Aggarwal & Vitter '88]</sub>
- Idea: expensive part of an algorithm's execution is transferring data to/from memory
- Parameters:
  - **B**: block size
  - M: memory size
  - **N**: data size

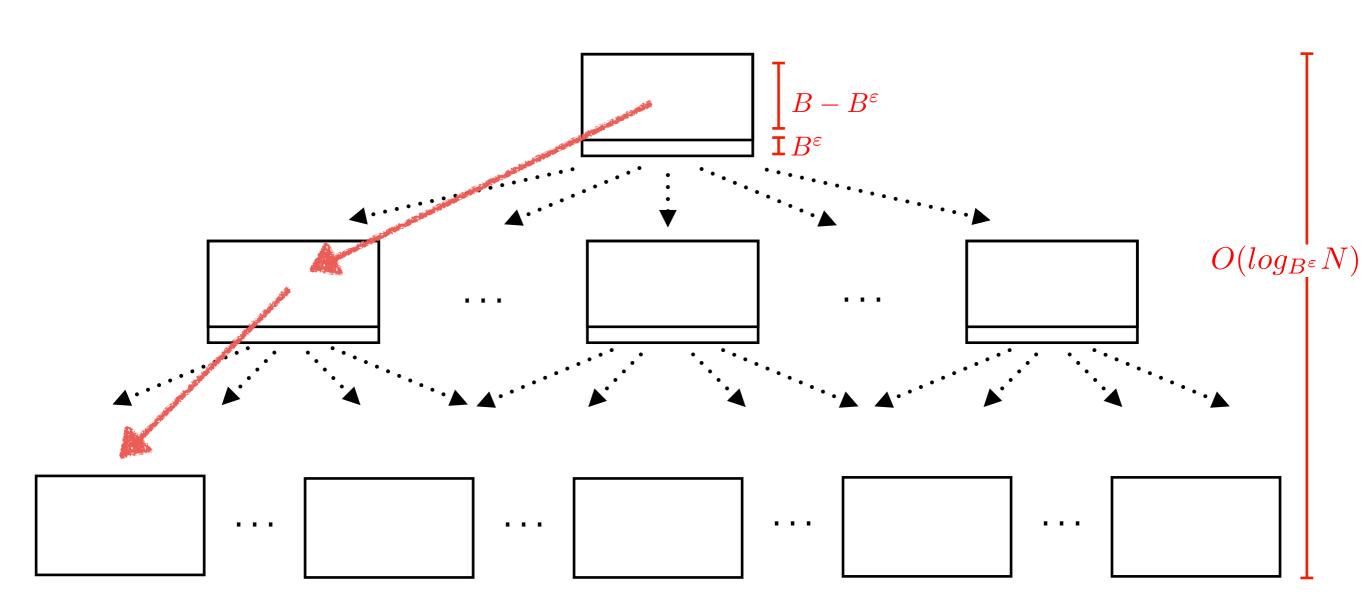
Performance = (# of I/Os)



Point Query: ?

Range Query:

Insert/upsert:



#### Goal: Compare query performance to a B-tree 0(log<sub>B</sub>N)

- $\rightarrow$ B $\epsilon$ -tree fanout:  $B^{\epsilon}$
- ightharpoonupB $\epsilon$ -tree height:  $O(log_{B^{\epsilon}}N)$

Rule 1: 
$$log_b(M \cdot N) = log_b M + log_b N$$

Rule 2: 
$$\log_b \left(\frac{M}{N}\right) = \log_b M - \log_b N$$

Rule 3: 
$$\log_b \left( M^k \right) = k \cdot \log_b M$$

Rule 4: 
$$\log_b(1) = 0$$

Rule 5: 
$$log_b(b) = 1$$

Rule 6: 
$$log_b(b^k)=k$$

Rule 7: 
$$b^{log_b(k)} = k$$

$$\log_b(a) = \frac{\log_x(a)}{\log_x(b)}$$

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$$log_{B^e}N = log_{B}N = log_{B}N = log_{B}$$
Change of base 
$$= log_{B}N = log_{B}$$
Rule 6

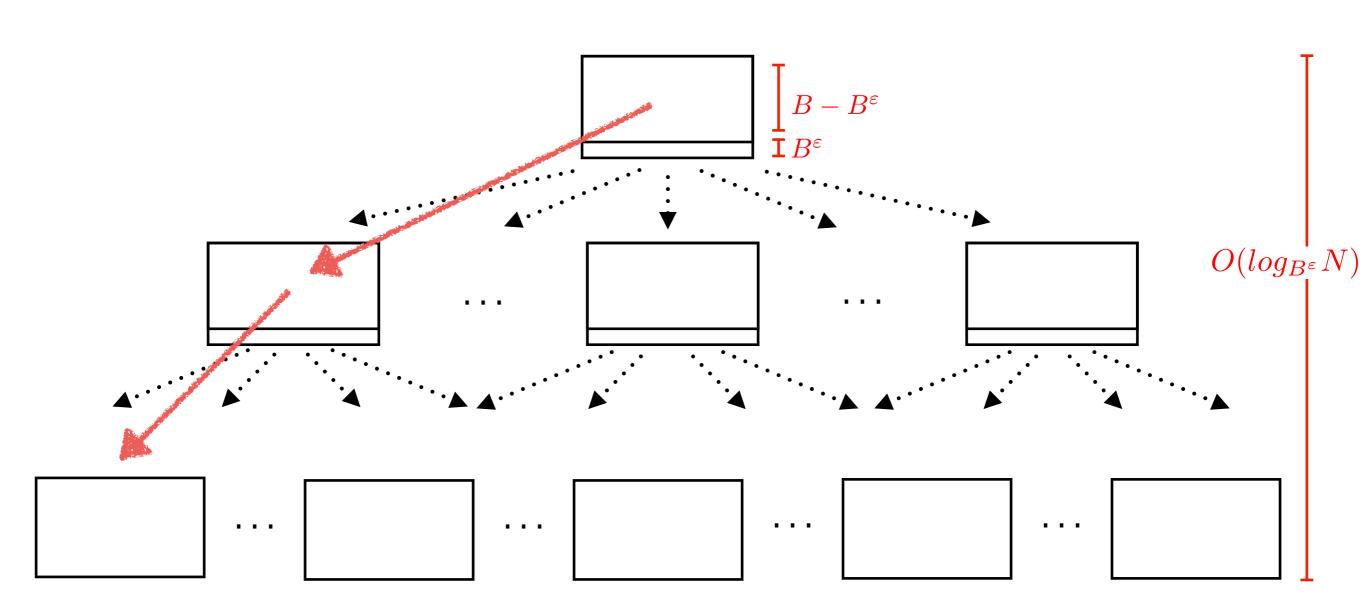
Where: b > 1, and M, N and k can be any real numbers

but M and N must be positive!

Point Query:  $O(\frac{\log_B N}{\varepsilon})$ 

Range Query: ?

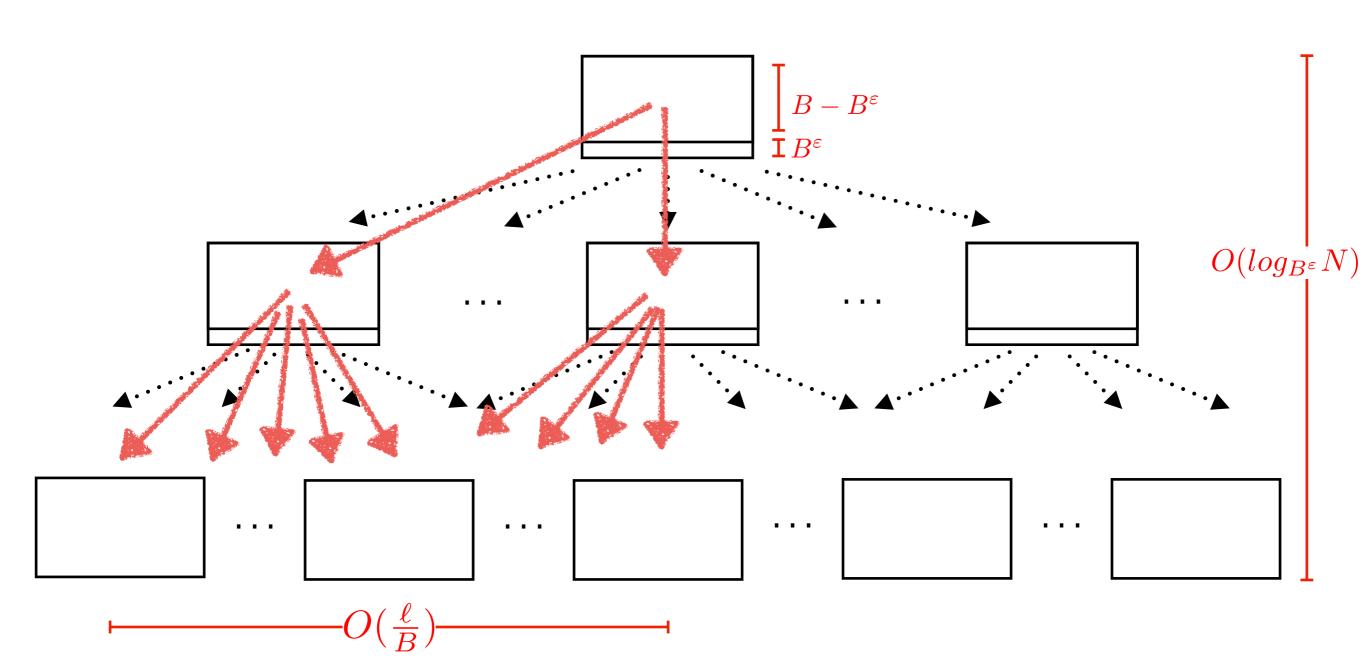
Insert/upsert:



Point Query:  $O(\frac{\log_B N}{arepsilon})$ 

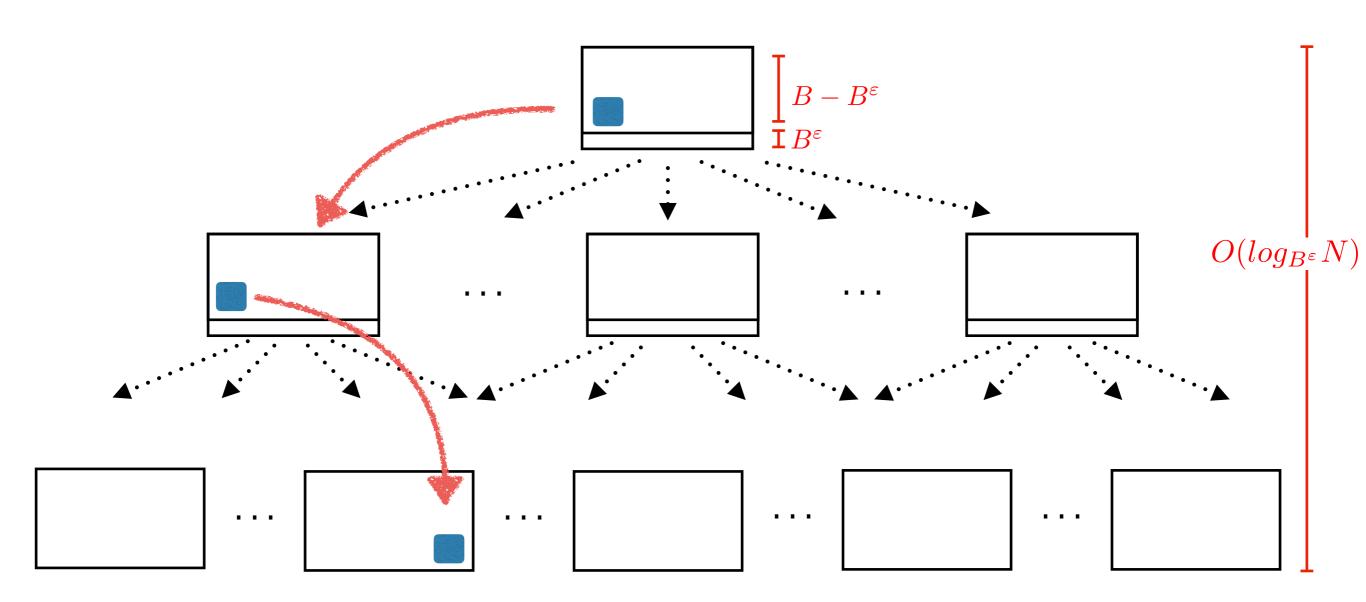
Range Query:  $O(\frac{\log_B N}{\varepsilon} + \frac{\ell}{B})$ 

Insert/upsert: ?



Point Query:  $O(\frac{\log_B N}{\varepsilon})$  Range Query:  $O(\frac{\log_B N}{\varepsilon} + \frac{\ell}{B})$ 

Insert/upsert: ?



**Goal**: Attribute the cost of flushing across all messages that benefit from the work.

→ How many times is an insert flushed?

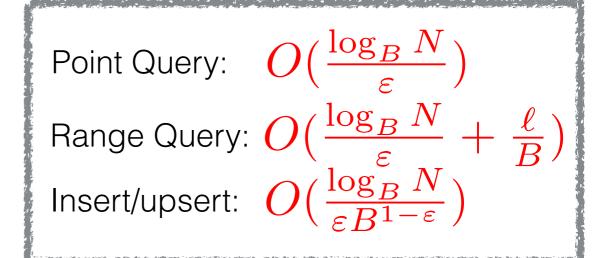


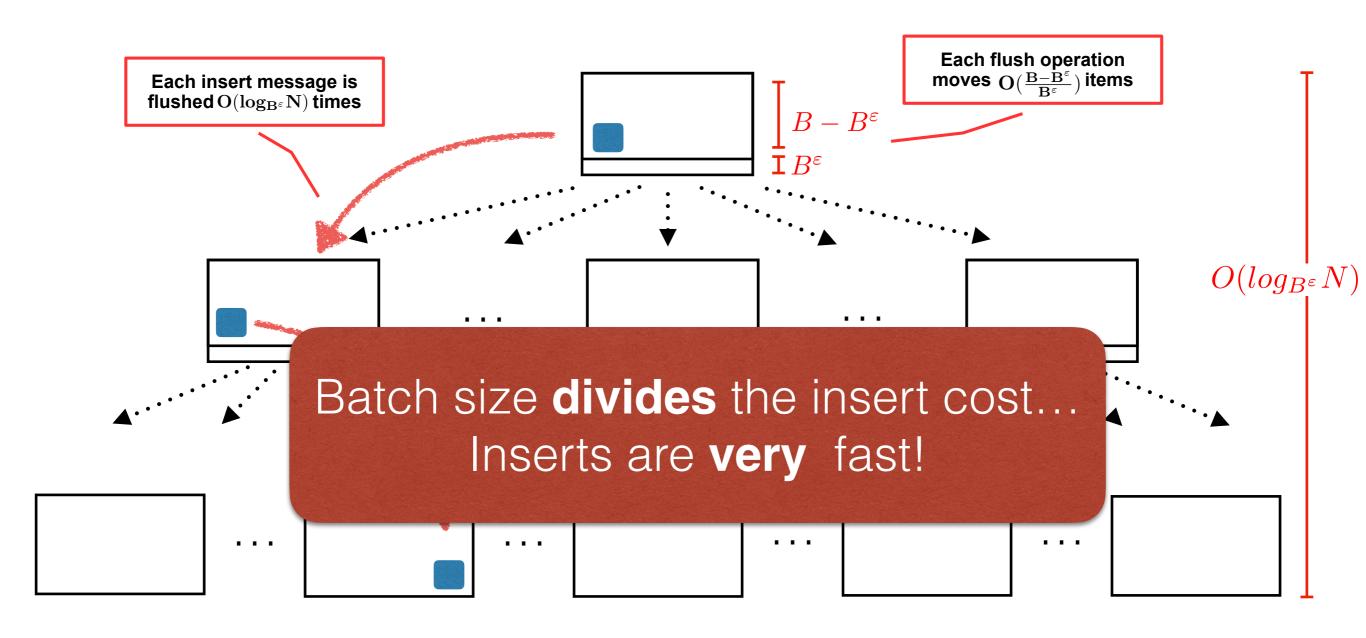
ightharpoonup How many messages are moved per flush?  $\mathbf{O}(\frac{\mathbf{B} - \mathbf{B}^{\varepsilon}}{\mathbf{B}^{\varepsilon}})$ 

$$B$$
- $B$  $\varepsilon$   $B$ 

- → How do we "share the work" among the messages?
  - Divide by the total cost by the number of messages

$$\frac{B-Be}{Be} = \frac{B^1}{Be} - \frac{Be}{Be} = \frac{B^{1-e}-1}{B^{1-e}-1}$$



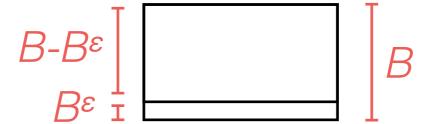


## Recap/Big Picture

- Setup costs are slow → big I/Os improve performance
- Bε-trees convert small updates to large I/Os
  - Inserts: orders-of-magnitude faster
  - Upserts: let us update data without reading
  - Point queries: as fast as standard tree indexes
  - Range queries: near-disk bandwidth (w/ large B)

Question: How do we choose **B** and ε?

• How do we choose  $\varepsilon$ ?

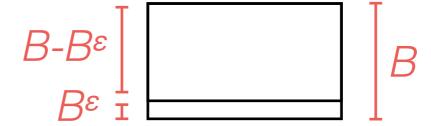


 Original paper didn't actually use the term B<sup>ε</sup>-tree (or spend very long on the idea). Showed there are various points on the trade-off curve between B-trees and Buffered Repository trees

 $\varepsilon = 1$  corresponds to a B-tree

ε = 0 corresponds to a Buffered Repository tree

• How do we choose **B**?



- Let's first think about B-trees
  - What changes when B is large?
  - What changes when B is small?
- Bε-trees buffer data; batch size divides the insert cost
  - What changes when B is large?
  - What changes when B is small?

In practice choose **B** and "fanout". **B**  $\approx$  2-8MiB, fanout  $\approx$ 16

- How does a Bε-tree compare to an LSM-tree?
  - Compaction vs. flushing
  - Queries (range and point)
  - Upserts

- How would you implement
  - copy(old, new)
  - delete("large") :: kv-pair that occupies a whole leaf?
  - delete("a\*lb\*lc\*") :: a contiguous range of kv-pairs?

### Next Class

• From Be-tree to file system!