

**CSCI 136**  
**Data Structures &**  
**Advanced Programming**

**Lecture 32**

**Fall 2017**

**Instructors: Bills**

# Last Time

- Adjacency List Implementation Details
  - Featuring many Iterators!
- More Fundamental Graph Properties
- An Important Algorithm: Minimum-cost spanning subgraph

# Today's Outline

- Finish up Prim's Algorithm
- More Core Algorithms: Directed Graphs
  - Dijkstra's Algorithm
  - Time permitting
    - Cycle Detection
    - Topological Sorting

# Recall: Finding a MCST

Suppose we just wanted to find a PCST (pretty cheap spanning tree), here's one idea:

Grow It Greedily!

- Pick a vertex and find its cheapest incident edge. Now we have a (small) tree
- Repeatedly add the cheapest edge to the tree that keeps it a tree (connected, no cycles)
- How close might this get us to the MCST?

# Recall: An Amazing Fact

Thm: (Prim 1957) The greedy tree-growing algorithm always finds a minimum-cost spanning tree for any connected graph.

Contrast this with the greedy exam scheduling algorithm, which does *not* always find a minimum coloring

# Prim's Algorithm

*prim(G) // finds a MCST of connected  $G=(V,E)$*

*let  $v$  be a vertex of  $G$ ; set  $V_1 \leftarrow \{v\}$  and  $V_2 \leftarrow V - \{v\}$*

*let  $A$  be the set of all edges between  $V_1$  and  $V_2$*

*while( $|V_1| < |V|$ )*

*let  $e \leftarrow$  cheapest edge in  $A$  between  $V_1$  and  $V_2$*

*add  $e$  to MCST*

*let  $u \leftarrow$  the vertex of  $e$  in  $V_2$*

*move  $u$  from  $V_2$  to  $V_1$ ;*

*add to  $A$  all edges incident to  $u$*

*// note:  $A$  now may have edges with both ends in  $V_1$*

# Prim's Algorithm (Variant)

- Note: If  $G$  is not connected,  $A$  will eventually be empty even though  $|V_1| < |V|$
- We fix this by
  - Replacing *while*( $|V_1| < |V|$ ) with
    - *while*( $|V_1| < |V|$ ) &&  $A \neq \emptyset$ )
  - Replacing
    - *until*  $e$  is an edge between  $V_1$  and  $V_2$
  - with
    - *until*  $A \neq \emptyset$  or  $e$  is an edge between  $V_1$  and  $V_2$
- Then Prim will find the MCST for the component containing  $v$

# Prim's Algorithm (Variant)

*prim(G) // finds a MCST of connected  $G=(V,E)$*

*let  $v$  be a vertex of  $G$ ; set  $V_1 \leftarrow \{v\}$  and  $V_2 \leftarrow V - \{v\}$*

*let  $A \leftarrow \emptyset$  //  $A$  will contain ALL edges between  $V_1$  and  $V_2$*

*while  $|V_1| < |V| \ \&\& \ |A| > 0$*

*add to  $A$  all edges incident to  $v$*

*repeat*

*remove cheapest edge  $e$  from  $A$*

*until  $A$  is empty ||  $e$  is an edge between  $V_1$  and  $V_2$*

*if  $e$  is an edge between  $V_1$  and  $V_2$*

*let  $v \leftarrow$  the vertex of  $e$  in  $V_2$*

*move  $v$  from  $V_2$  to  $V_1$ ;*



# Implementing Prim's Algorithm

- We'll "build" the MCST by marking its edges as "visited" in  $G$
- We'll "build"  $V_1$  by marking its vertices visited
- How should we represent  $A$ ?
  - What operations are important to  $A$ ?
    - Add edges
    - Remove cheapest edge
  - A priority queue!
- When we remove an edge from  $A$ , check to ensure it has one end in each of  $V_1$  and  $V_2$

# ComparableEdge Class

- Values in a PriorityQueue need to implement Comparable
- We wrap edges of the PQ in a class called ComparableEdge
  - It requires the label used by graph edges to be of a Comparable type

# Prim's Algorithm (Variant)

*prim(G) // finds a MCST of connected  $G=(V,E)$*

*let  $v$  be a vertex of  $G$ ; set  $V_1 \leftarrow \{v\}$  and  $V_2 \leftarrow V - \{v\}$*

*let  $A \leftarrow \emptyset$  //  $A$  will contain ALL edges between  $V_1$  and  $V_2$*

*while  $|V_1| < |V|$  &&  $|A| > 0$*

*add to  $A$  all edges incident to  $v$*

*repeat*

*remove cheapest edge  $e$  from  $A$*

*until  $A$  is empty ||  $e$  is an edge between  $V_1$  and  $V_2$*

*if  $e$  is an edge between  $V_1$  and  $V_2$*

*let  $v \leftarrow$  the vertex of  $e$  in  $V_2$*

*move  $v$  from  $V_2$  to  $V_1$ ;*

# MCST: The Code

```
PriorityQueue<ComparableEdge<String,Integer>> q =  
    new SkewHeap<ComparableEdge<String,Integer>>();  
  
String v = null;           // current vertex  
Edge<String,Integer> e;   // current edge  
boolean searching;       // still building tree  
g.reset();               // clear visited flags  
  
// select a node from the graph, if any  
Iterator<String> vi = g.iterator();  
if (!vi.hasNext()) return;  
v = vi.next();
```

# MCST: The Code

```
do {  
    // visit the vertex and add all outgoing edges  
    g.visit(v);  
    Iterator<String> ai = g.neighbors(v);  
    while (ai.hasNext()) {  
        // turn it into outgoing edge  
        e = g.getEdge(v, ai.next());  
        // add the edge to the queue  
        q.add(new  
            ComparableEdge<String, Integer>(e));  
    }  
    ...  
}
```

# MCST: The Code

```
searching = true;
while (searching && !q.isEmpty()) {
    // grab next shortest edge
    e = q.remove();
    // Is e between  $V_1$  and  $V_2$  (subtle code!!)
    v = e.there();
    if (g.isVisited(v)) v = e.here();
    if (!g.isVisited(v)) {
        searching = false;
        g.visitEdge(g.getEdge(e.here(),
                               e.there()));
    }
}
} while (!searching);
```

# Prim : Space Complexity

- Graph:  $O(|V| + |E|)$ 
  - Each vertex and edge uses a constant amount of space
- Priority Queue  $O(|E|)$ 
  - Each edge takes up constant amount of space
- Every other object (including the neighbor iterator) uses a constant amount of space
- Result:  $O(|V| + |E|)$ 
  - Optimal in Big-O sense!

# Prim : Time Complexity

Assume Map ops are  $O(1)$  time (not quite true!)

For each iteration of do ... while loop

- Add neighbors to queue:  $O(\text{deg}(v) \log |E|)$ 
  - Iterator operations are  $O(1)$  [Why?]
  - Adding an edge to the queue is  $O(\log |E|)$
- Find next edge:  $O(\# \text{ edges checked} * \log |E|)$ 
  - Removing an edge from queue is  $O(\log |E|)$  time
  - All other operations are  $O(1)$  time



# Prim : Time Complexity

Over *all* iterations of do ... while loop

Step I: Add neighbors to queue:

- For each vertex, it's  $O(\text{deg}(v) \log |E|)$  time
- Adding over all vertices gives

$$\sum_{v \in V} \text{deg}(v) \log |E| = \log |E| \sum_{v \in V} \text{deg}(v) = \log |E| * 2|E|$$

- which is  $O(|E| \log |E|) = O(|E| \log |V|)$ 
  - $|E| \leq |V|^2$ , so  $\log |E| \leq \log |V|^2 = 2 \log |V| = O(\log |V|)$

# Prim : Time Complexity

Over *all* iterations of do ... while loop

Step 2: Find next edge:  $O(\# \text{ edges checked} * \log |E|)$

- Each edge is checked at most once
- Adding over all edges gives  $O(|E| \log |E|)$  again

Thus, overall time complexity (worst case) of Prim's Algorithm is  $O(|E| \log |V|)$

- Typically written as  $O(m \log n)$ 
  - Where  $m = |E|$  and  $n = |V|$

# Single Source Shortest Paths

The Problem: Given a graph  $G$  and a starting vertex  $v$ , find, for *each* vertex  $u \neq v$  reachable from  $v$ , a shortest path from  $v$  to  $u$ .

- The Single Source Shortest Paths Problem
- Arises in many contexts, including network communications
- Uses edge weights (but we'll call them "lengths"): assume they are non-negative numbers
- Could be a directed or undirected graph

# Single Source Shortest Paths

- We'll look at directed graphs
  - So the paths must be directed paths
- Let's think....
- Suppose we have a set shortest paths  $\{P_u : u \neq v\}$ , where  $P_u$  is a shortest path from  $v$  to  $u$
- Let  $H$  be the subgraph of  $G$  consisting of each vertex of  $G$  along with all of the edges in each  $P_u$
- What can we say about  $H$ ?

# Single Source Shortest Paths

## Observations

- If some vertex  $u$  has in-degree greater than 1, we can drop one of the incoming edges: Why?
  - Only the last edge of the shortest path from  $v$ - $u$  is needed as an in-edge to  $u$  [Why?]
  - So we assume  $H$  has  $\text{in-deg}(u)=1$  for all  $u \neq v$ 
    - We need *no* in-edges for  $v$  [Why?]
- $H$  can't have any directed cycles
  - Well,  $v$  can't be on any cycles ( $\text{in-deg}(v) = 0$ )
  - If there were a cycle, some vertex on it would have in-degree  $> 1$  [Why?]

# Single Source Shortest Paths

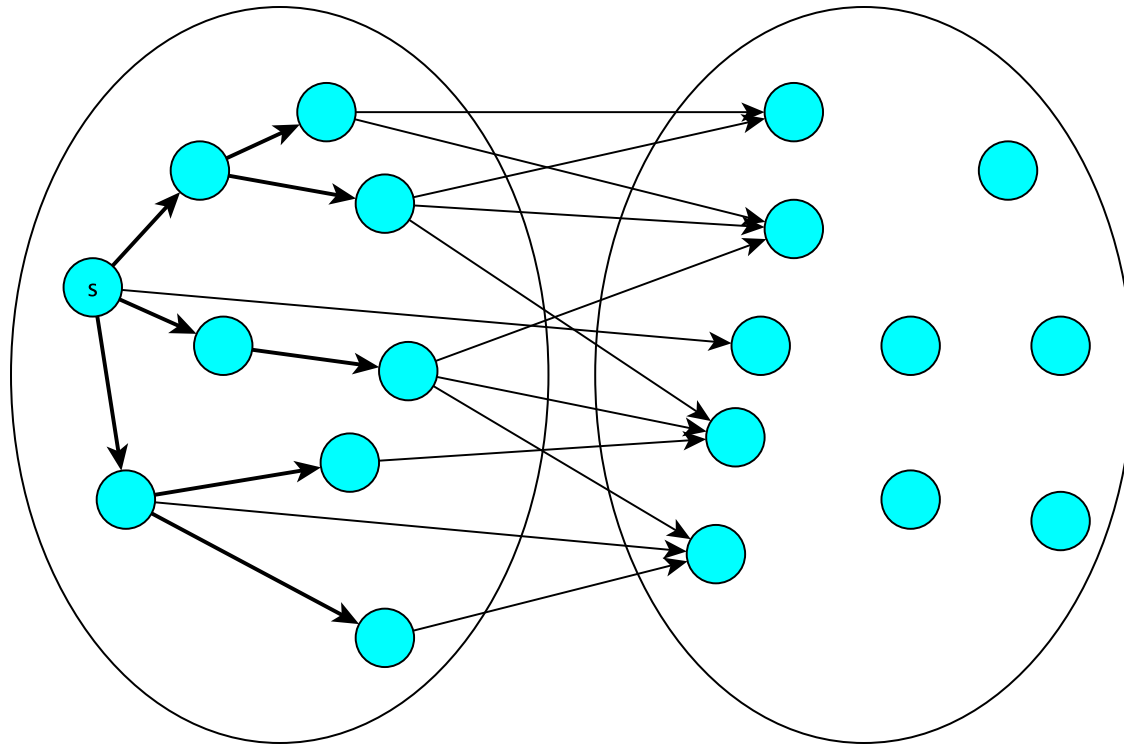
## Observations

- In fact, even disregarding edge directions, there would be no cycles
  - Some vertex would have in-degree at least 2
    - Or else there's a directed cycle (Why?)
- So, we can assume that there is some set of shortest paths that forms a (directed) tree
- This suggests that we try again to  
    Greedily grow a tree
- The question is: How?

# The Right Kind of Greed

- Build a MCST?
  - No: It won't always give shortest paths
- A start: take shortest edge from start vertex  $s$ 
  - That must be a shortest path!
  - And now we have a small tree of shortest paths
- What next?
  - Design an algorithm thinking inductively
  - Suppose we have found a tree  $T_k$  that has shortest paths from  $s$  to the  $k-1$  vertices “closest” to  $s$
  - What vertex would we want to add next?

# Finding the Best Vertex to Add to $T_k$

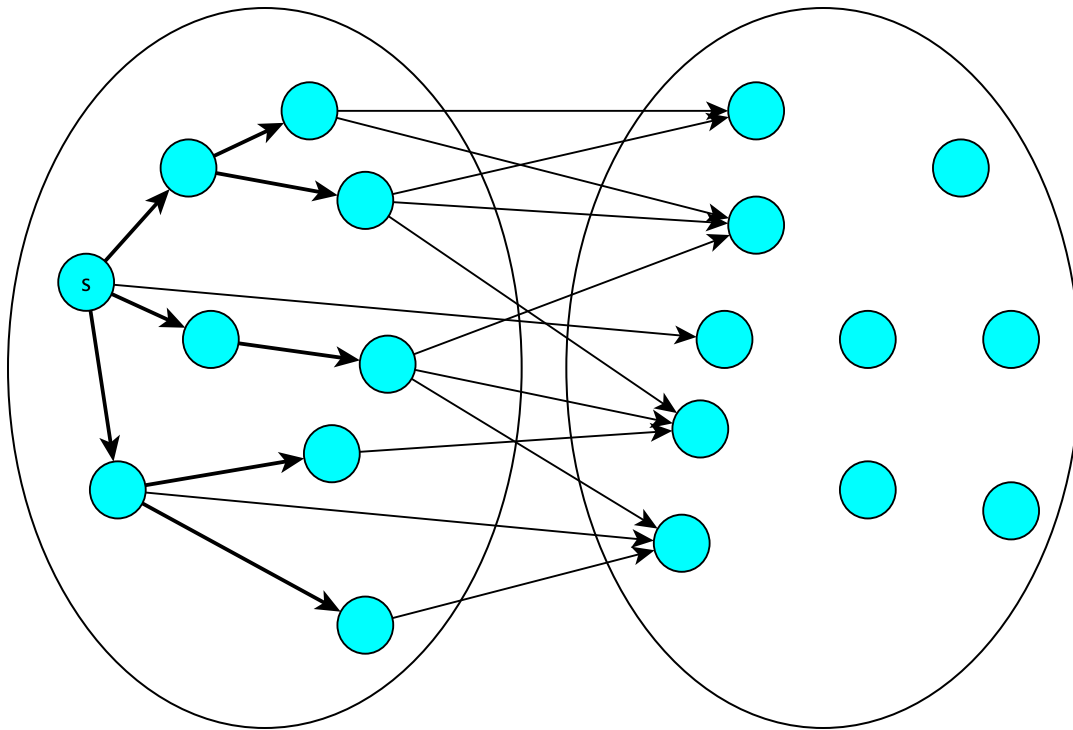


Not all edges are displayed

Question: Can we find the next closest vertex to  $s$ ?



# What's a Good Greedy Choice?



Idea: Pick edge  $e$  from  $u$  in  $T_k$  to  $v$  in  $G - T_k$  that minimizes the length of the tree path from  $s$  up to—and through— $e$

Now add  $v$  and  $e$  to  $T_k$  to get tree  $T_{k+1}$

Now  $T_{k+1}$  is a tree consisting of shortest paths from  $s$  to the  $k$  vertices closest to  $s$ ! [Proof?] Repeat until  $k = |V|$

# Some Notation Reminders

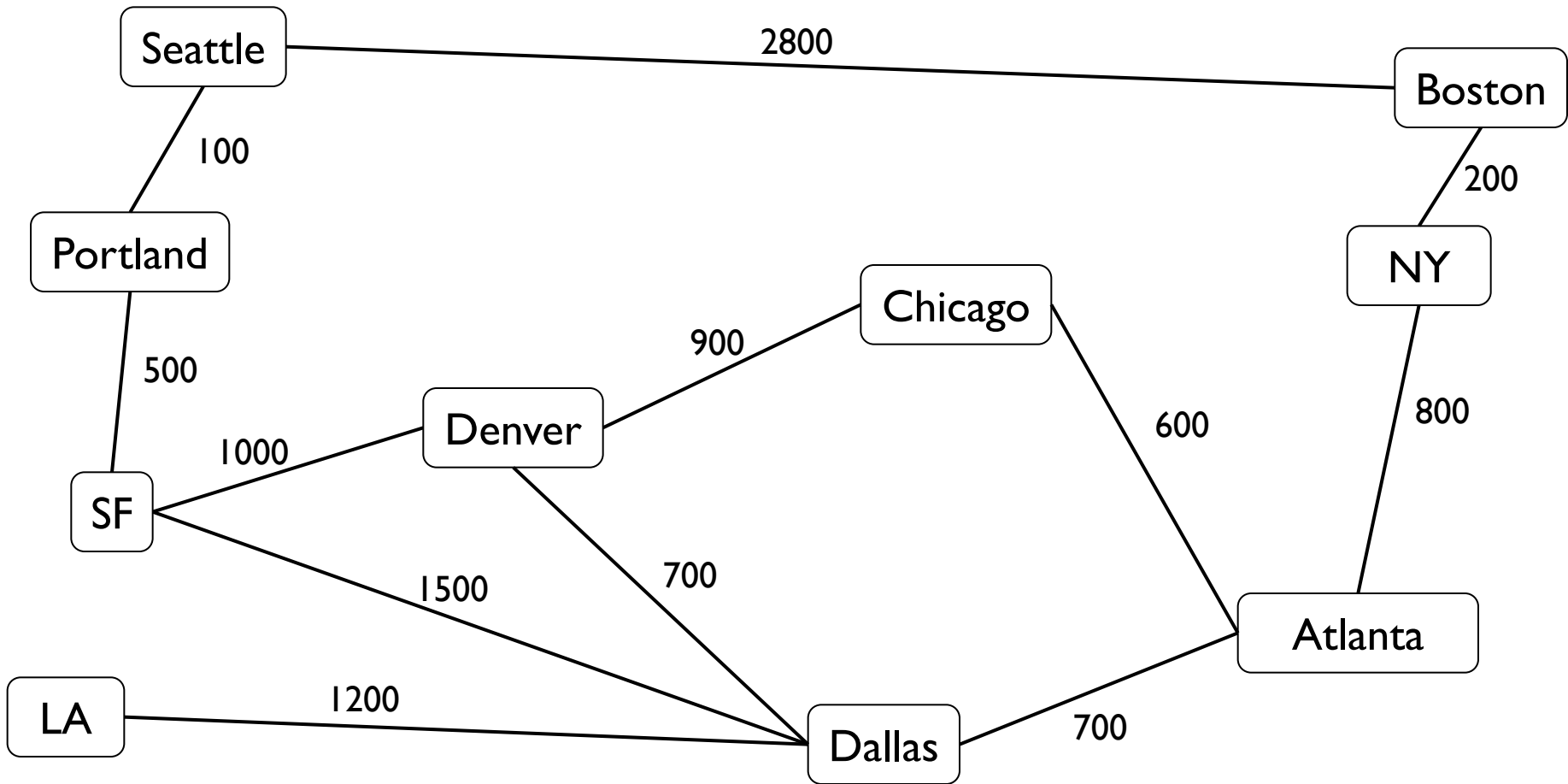
- $l(e)$  : length (weight) of edge  $e$
- $d(u,v)$  : *distance* from  $u$  to  $v$ 
  - Length of shortest path from  $u$  to  $v$
- The priority queue stores an *estimate* of the distance from  $s$  to  $w$  by storing, for some edge  $(v,w)$ ,  $d(s,v) + l(v,w)$ 
  - The estimate is always an *upper bound* on  $d(s,w)$

# Dijkstra: What Do We Return?

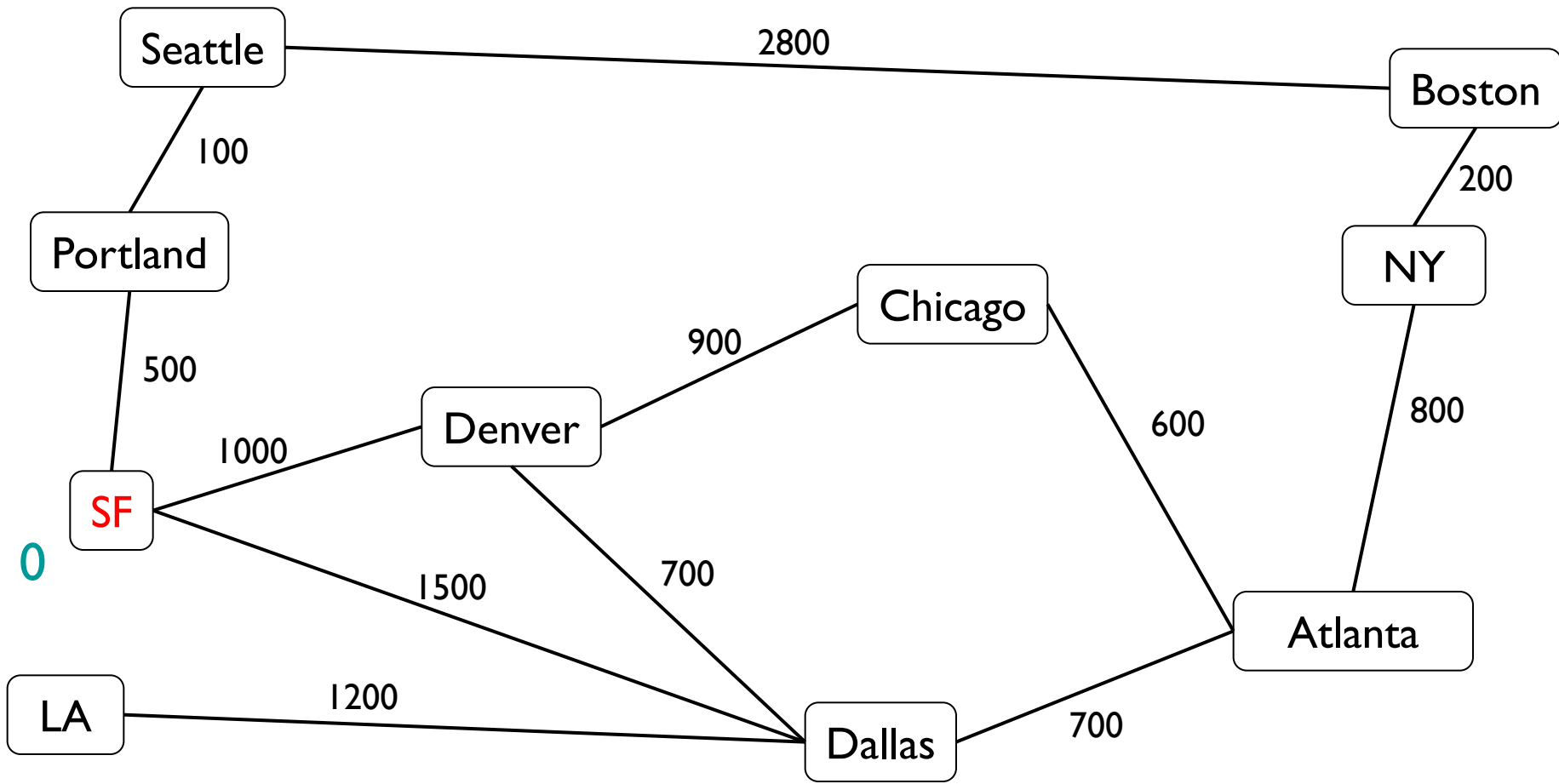
- As we find a new vertex  $v$  to add to the tree of shortest paths, add edges  $e=(v,w)$  to a map.
- Precisely:
  - Use the PQ association  $(X,Y)$  edgeInfo where
    - $X$  is  $d(s,v) + l(v,w)$
    - $Y$  is the edge  $e=(v,w)$
  - Add the key/value pair  $(w, \text{edgeInfo})$  to the map
- So the map entry with key  $w$  tells us the edge the best path used to get from the tree to  $w$

# Dijkstra's Algorithm

*Dijkstra(G, s) //  $l(e)$  is the length of edge  $e$*   
*let  $T \leftarrow (\{s\}, \emptyset)$  and PQ be an empty priority queue*  
*for each neighbor  $v$  of  $s$ , add edge  $(s, v)$  to PQ with priority  $l(e)$*   
*while  $T$  doesn't have all vertices of  $G$  and PQ is non-empty*  
*repeat*  
*$e \leftarrow \text{PQ.removeMin}()$  // skip edges with both*  
*until PQ is empty or  $e = (u, v)$  for  $u \in T, v \notin T$  // ends in T*  
*if  $e = (u, v)$  for  $u \in T, v \notin T$*   
*add  $e$  (and  $v$ ) to  $T$*   
*for each neighbor  $w$  of  $v$*   
*add edge  $(v, w)$  to PQ with weight/key  $d(s, v) + l(v, w)$*



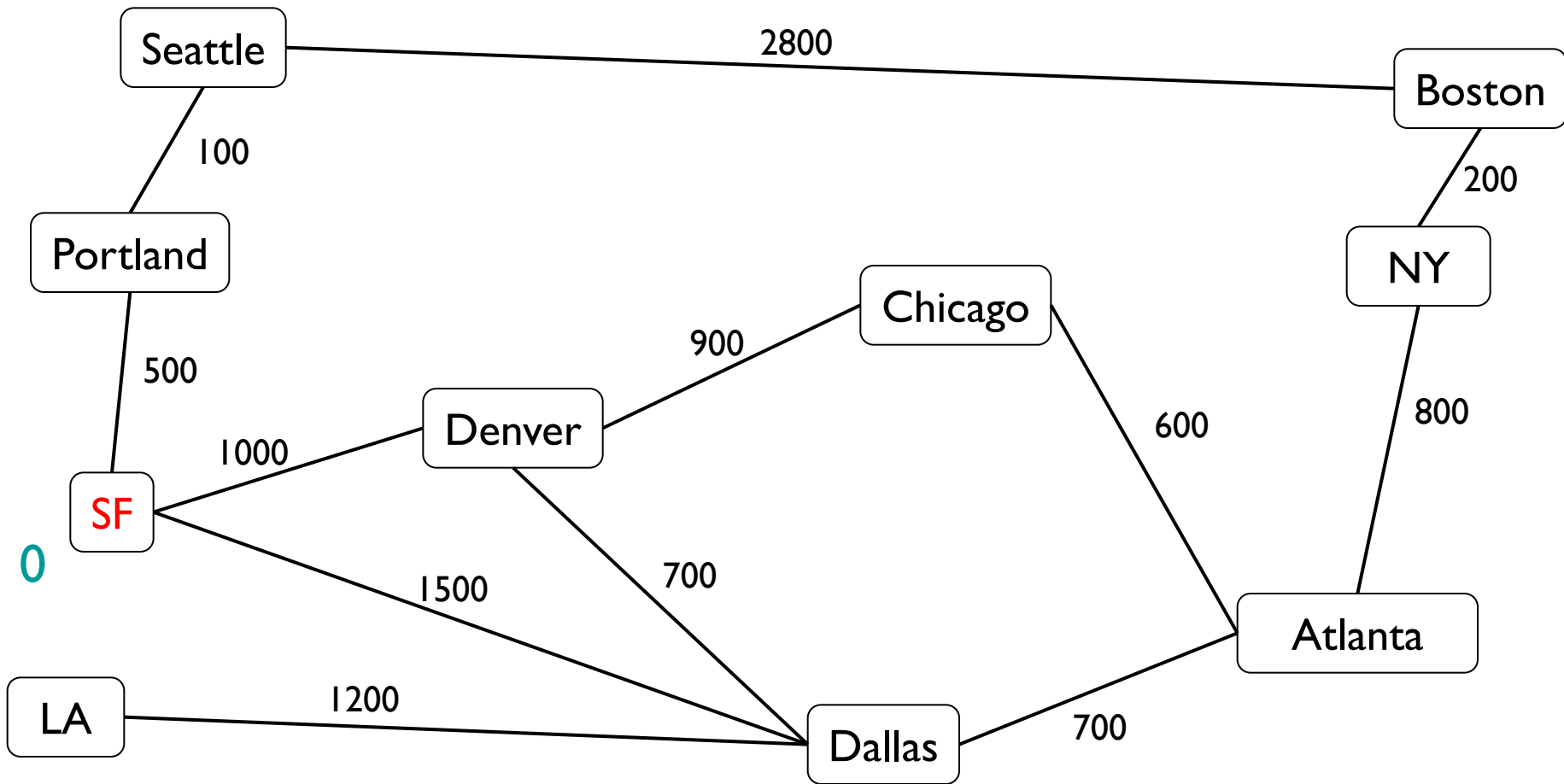
# Dijkstra's Algorithm



0

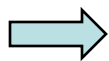
Priority Queue





0

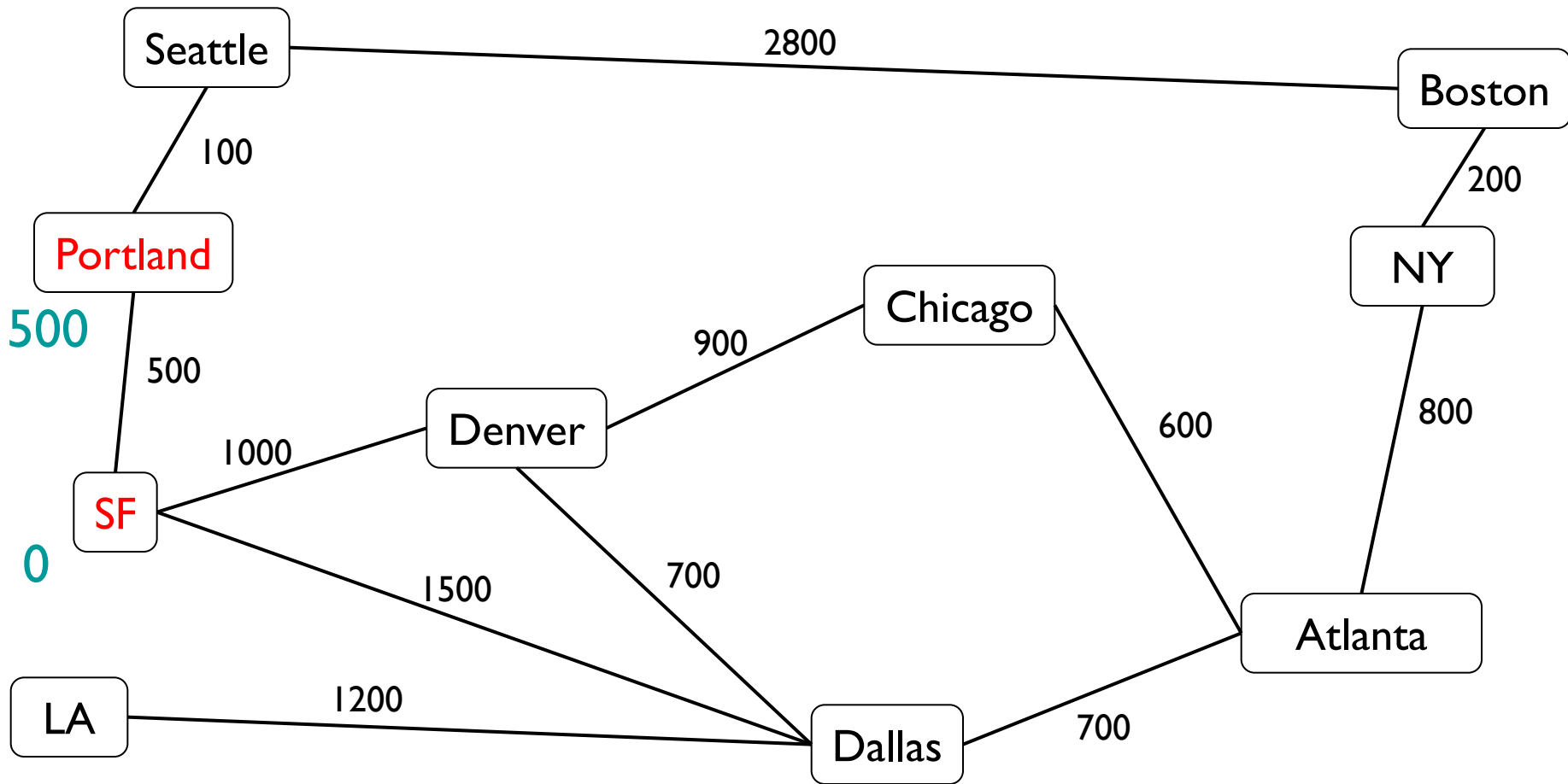
## Priority Queue



SF->Port;  
500

SF->Den;  
1000

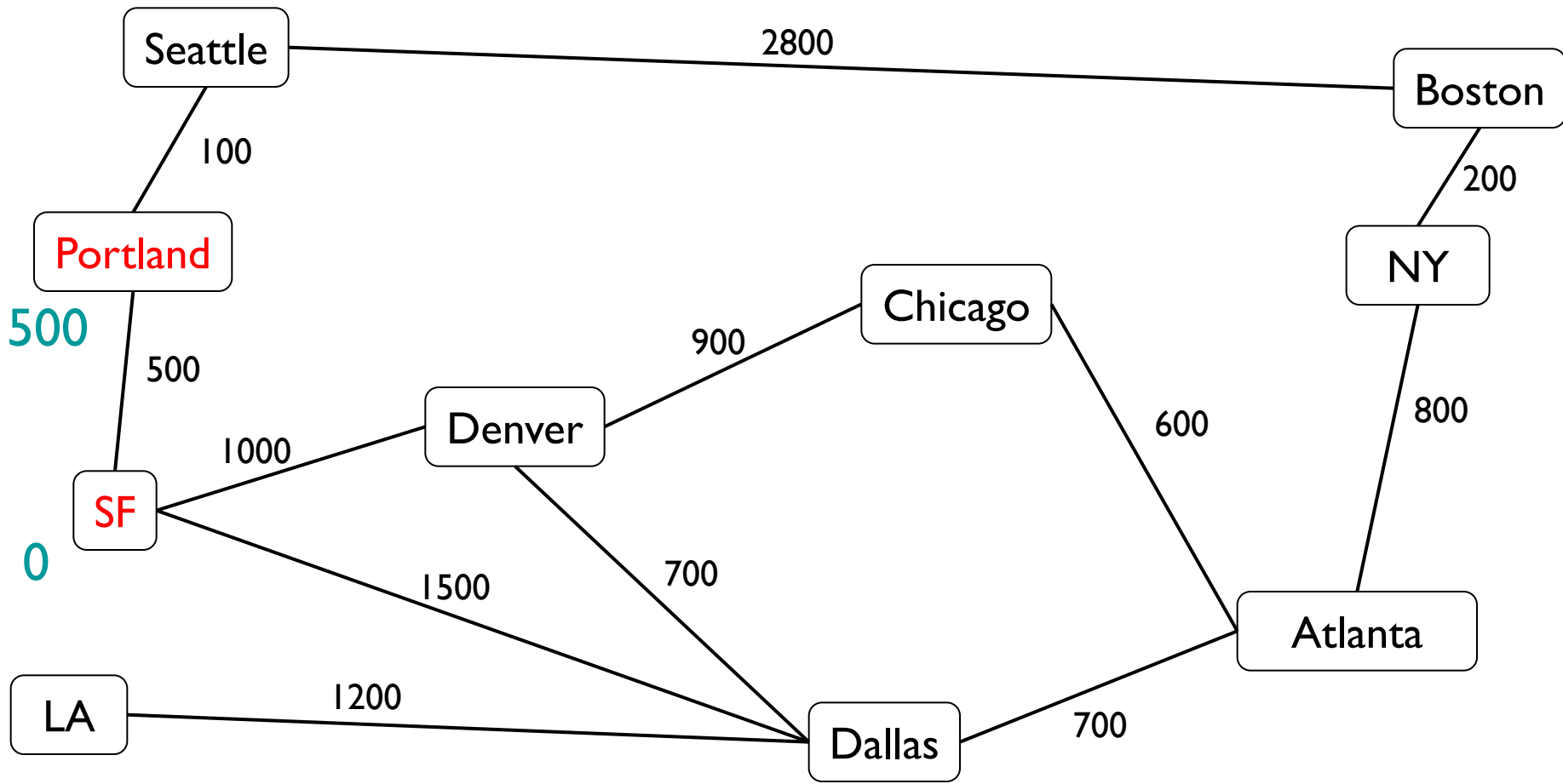
SF->Dal  
1500



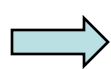
Current: 500 SF->Port (need to add Port's neighbors to PQ)

→ SF->Den; 1000      SF->Dal 1500





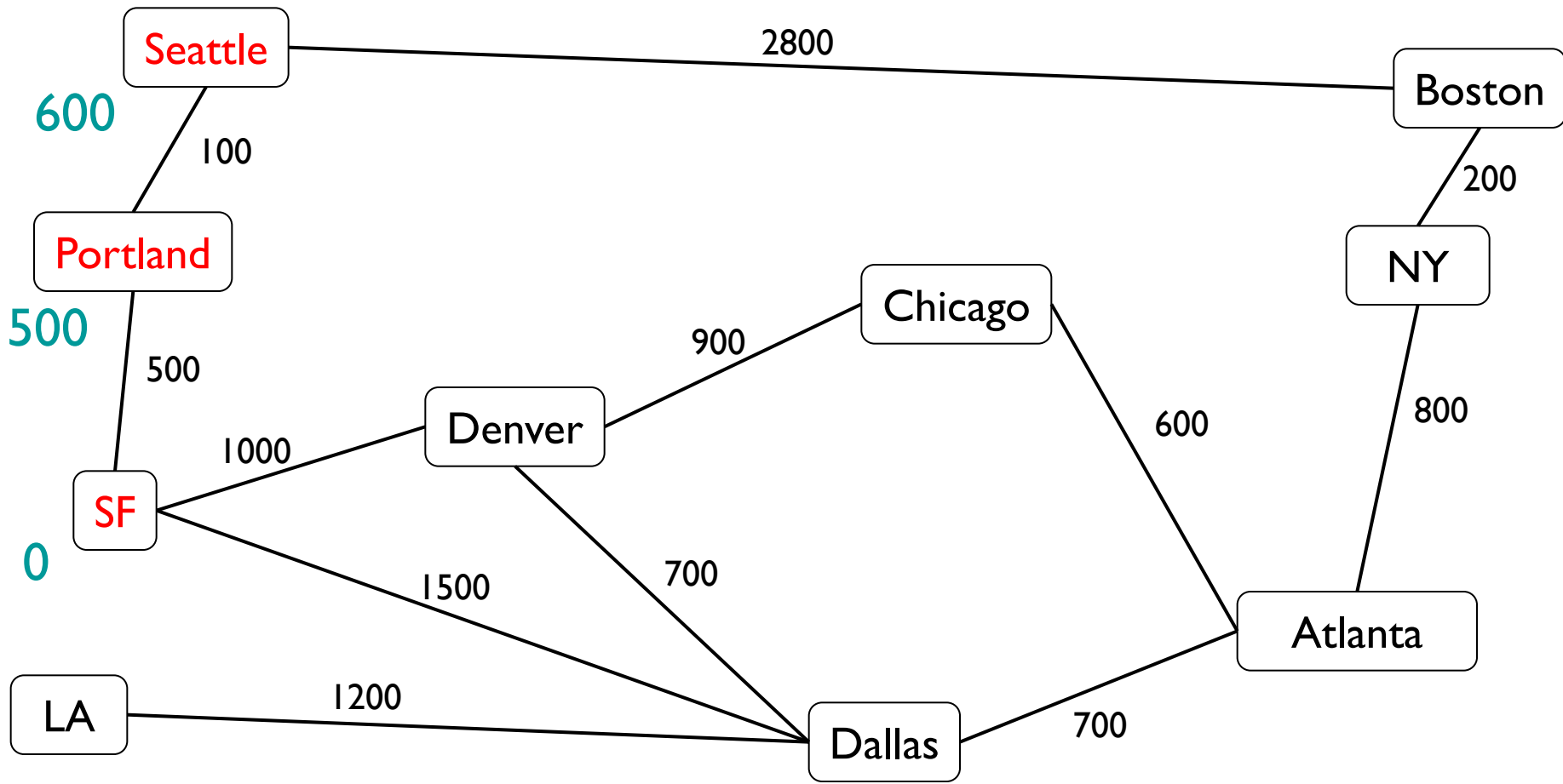
Current: 500 SF->Port



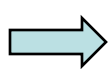
SF->Port->Sea;  
600

SF->Den;  
1000

SF->Dal  
1500

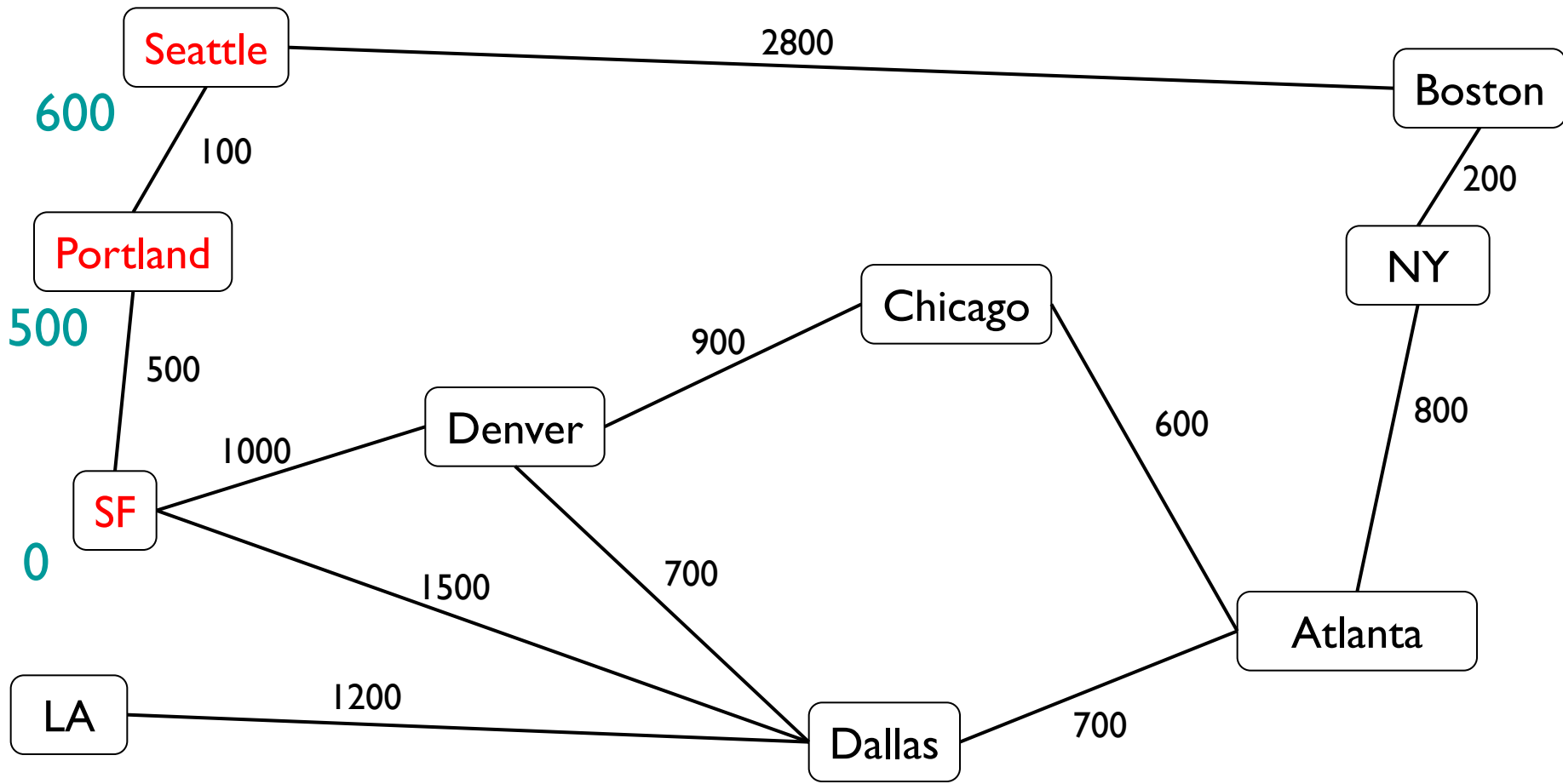


Current: 600 SF->Port->Sea

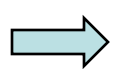


SF->Den;  
1000

SF->Dal  
1500



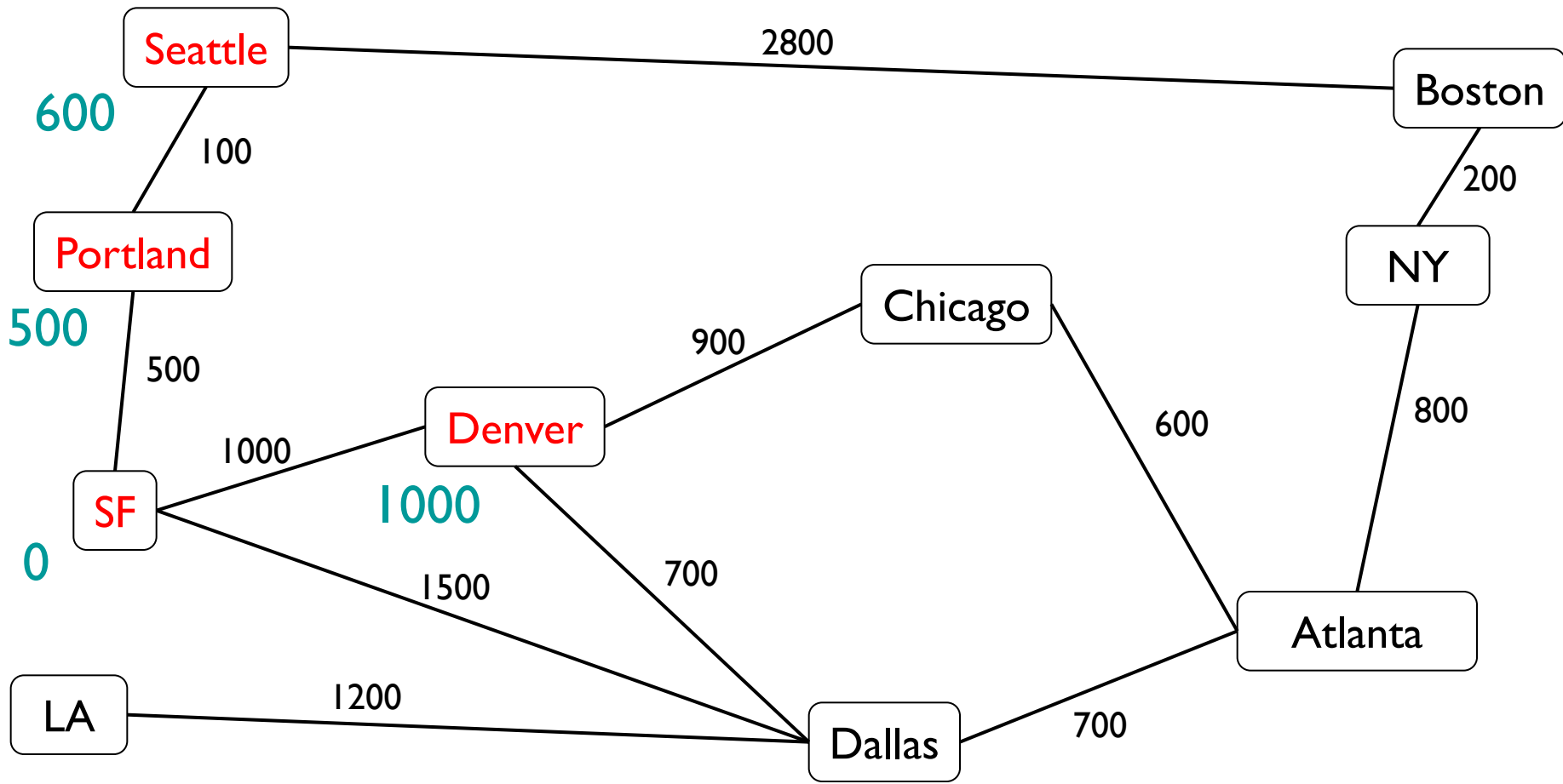
Current: 600 SF->Port->Sea



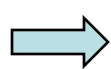
SF->Den;  
1000

SF->Dal;  
1500

SF->Port->Sea->Bos  
3400

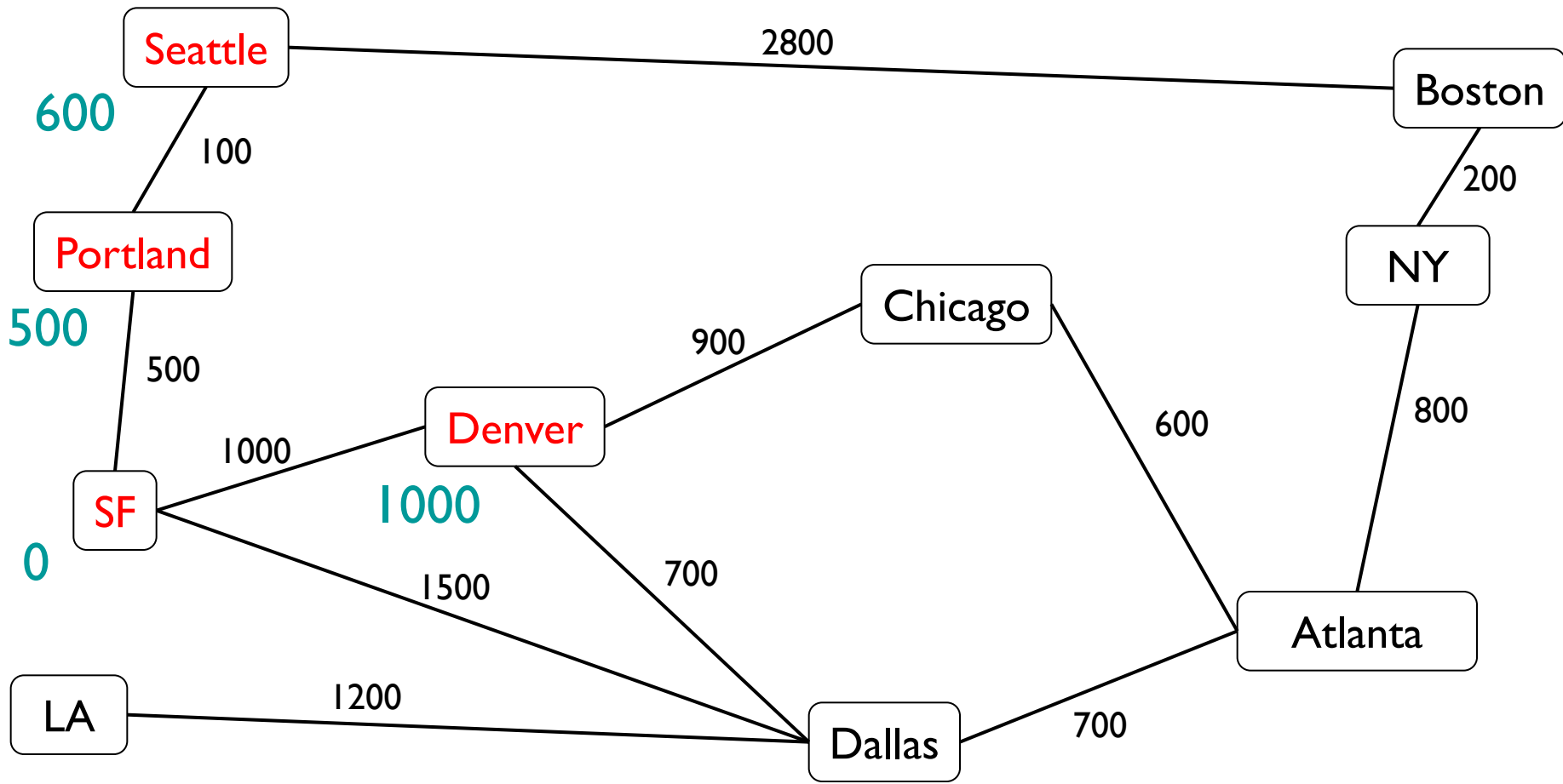


Current: 1000 SF->Den



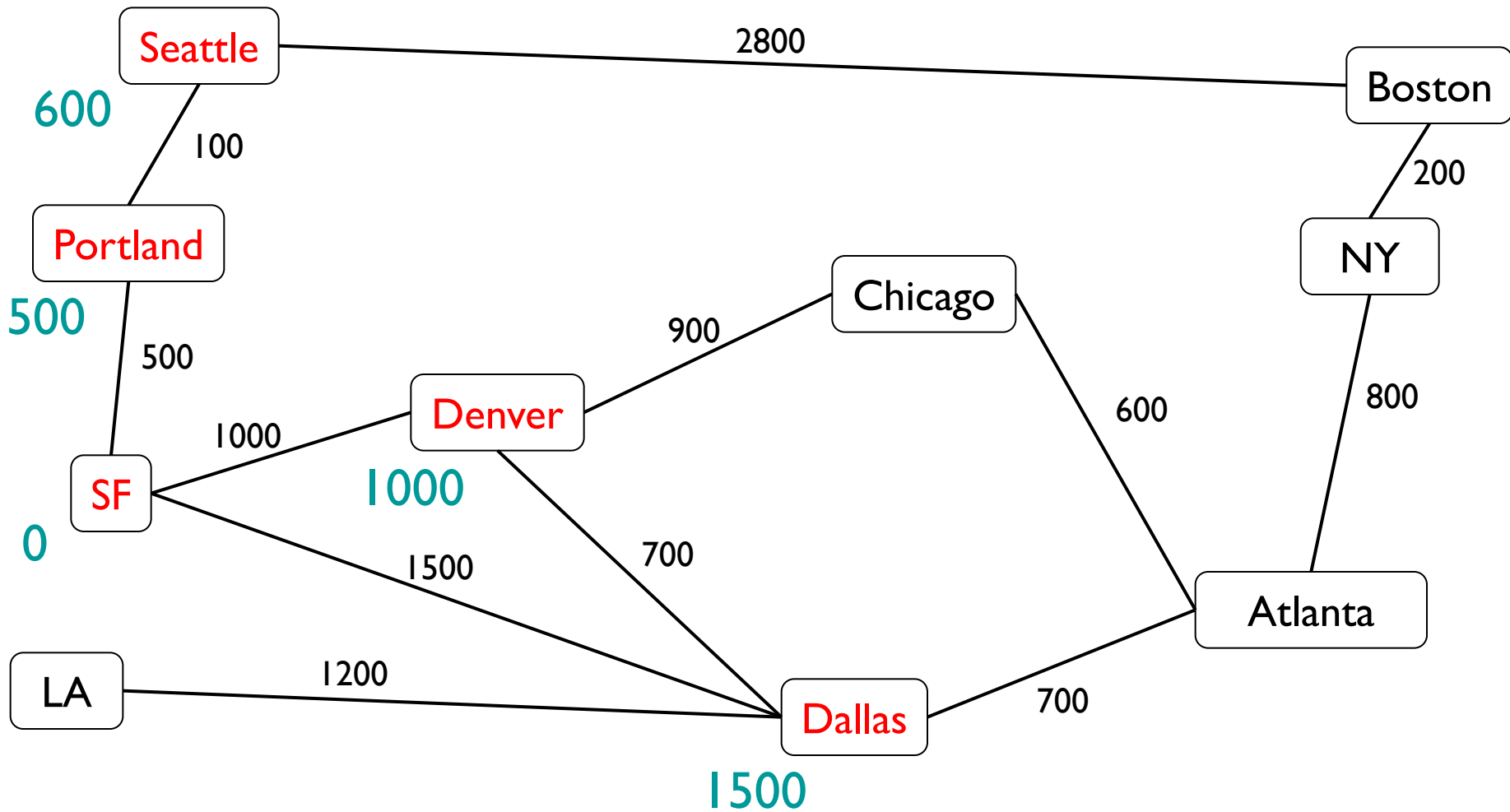
SF->Dal;  
1500

SF->Port->Sea->Bos  
3400



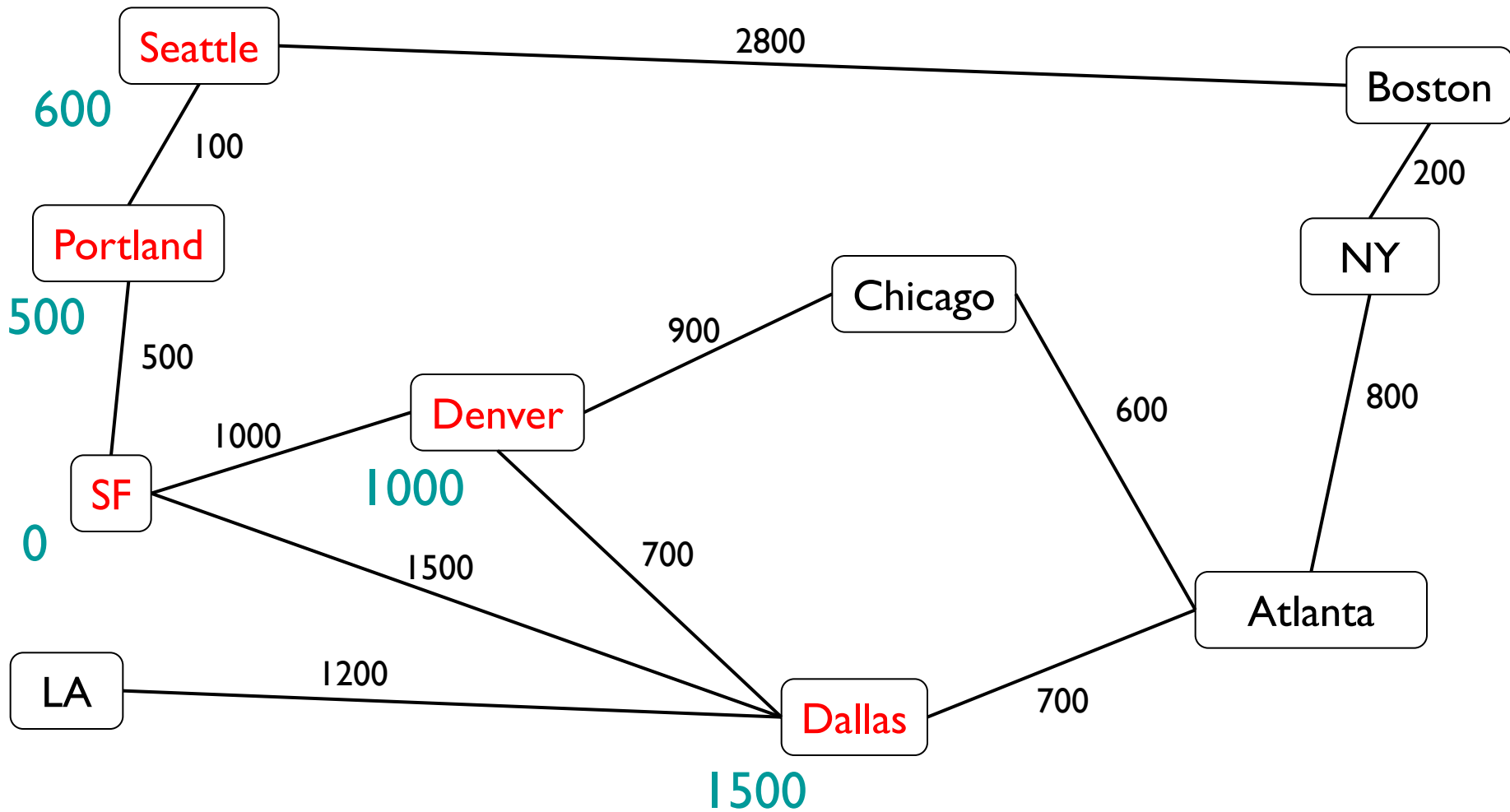
Current: 1000 SF->Den

- SF->Dal; 1500      SF->Den->Dal; 1700      SF->Den->Chi; 1900      SF->Port->Sea->Bos 3400



Current: 1500 SF->Dal

→ SF->Den->Dal;      SF->Den->Chi;      SF->Port->Sea->Bos  
 1700                      1900                      3400



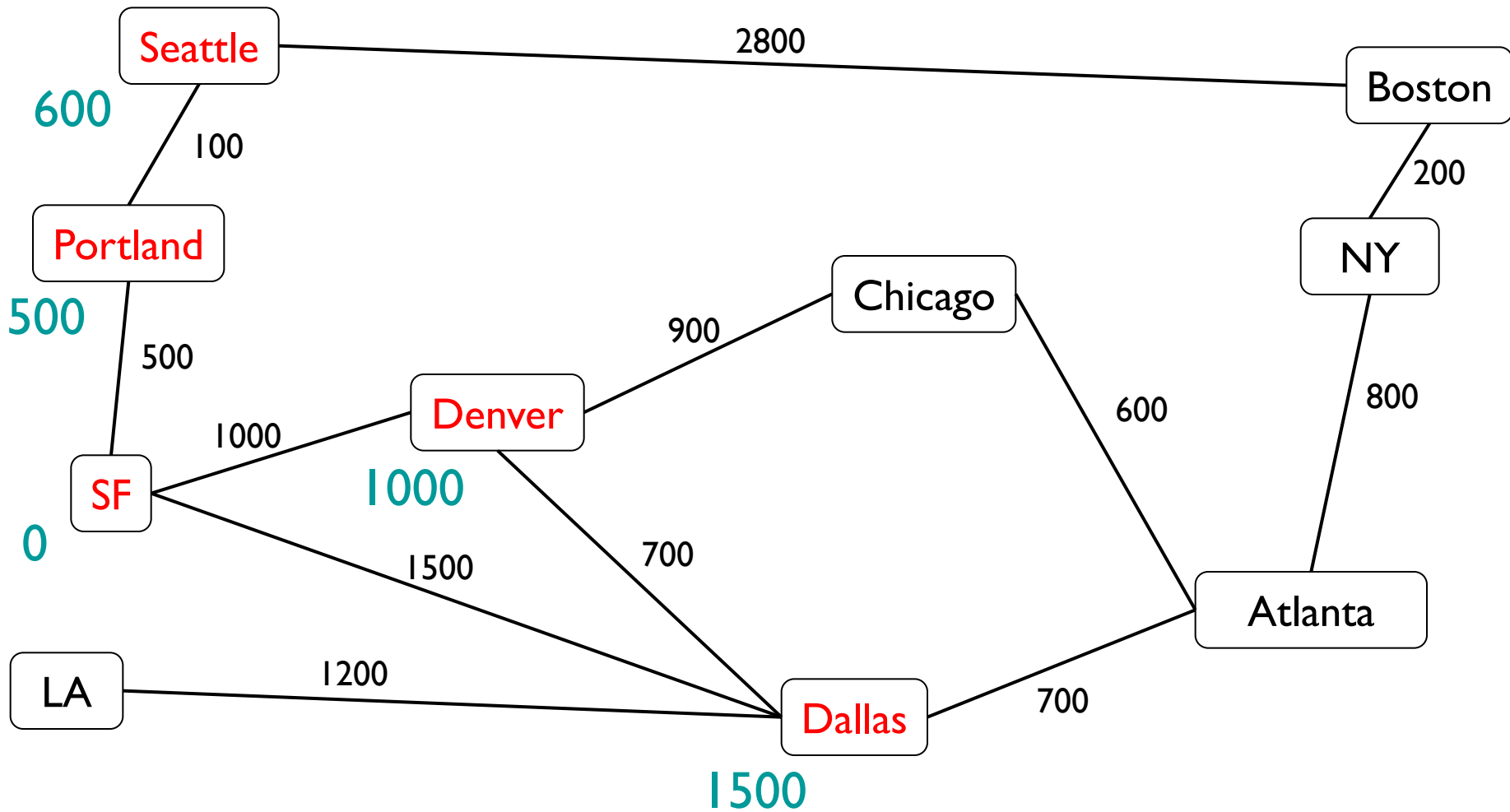
SF->Den->Dal;  
1700

SF->Den->Chi;  
1900

SF->Dal->Atl;  
2200

SF->Dal->LA;  
2700

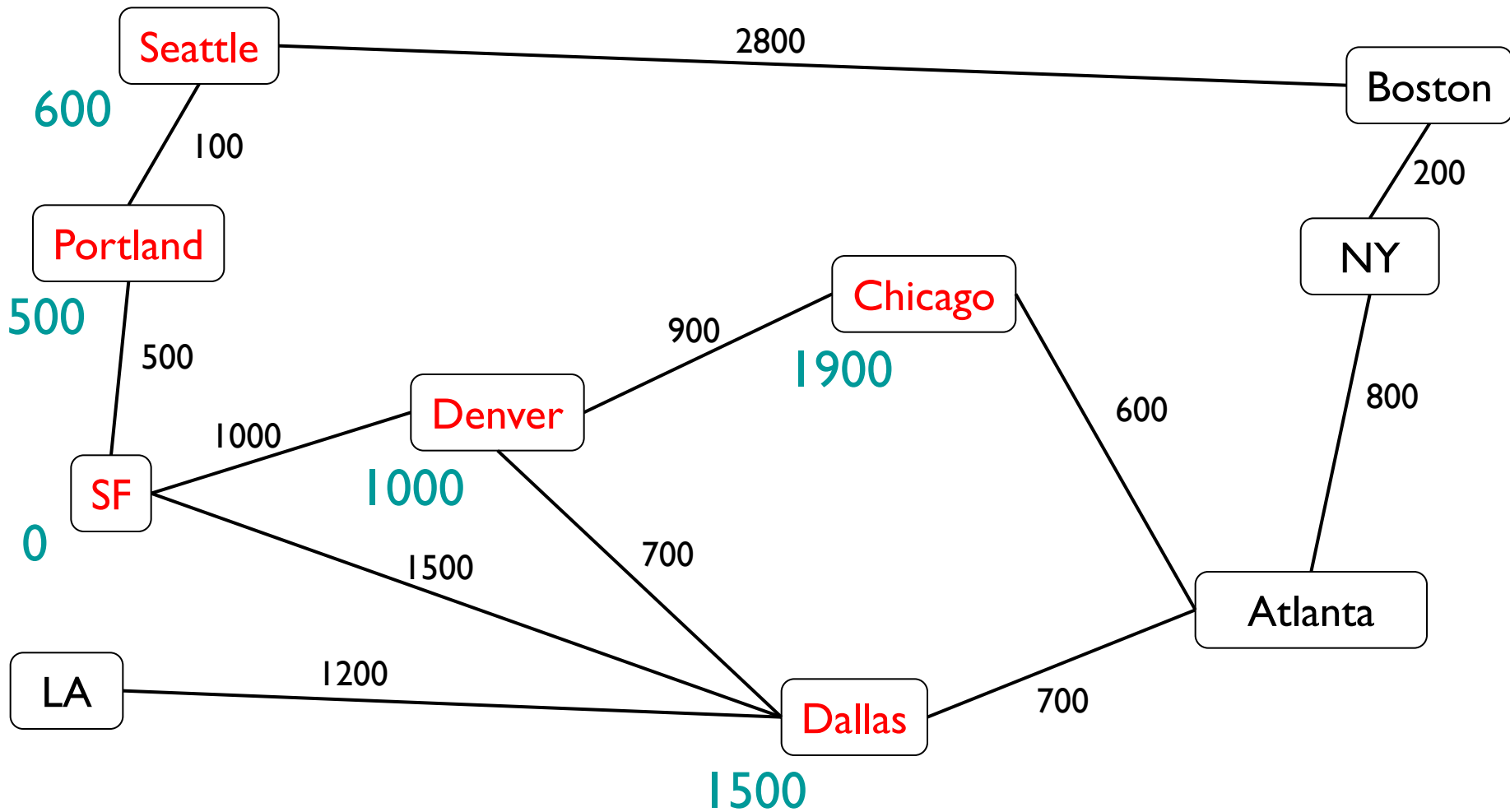
SF->Port->Sea->Bos  
3400



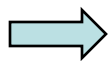
Current: 1700 SF->Den->Dal (we already have Dallas!)

- SF->Den->Chi; 1900      SF->Dal->Atl; 2200      SF->Dal->LA; 2700      SF->Port->Sea->Bos 3400





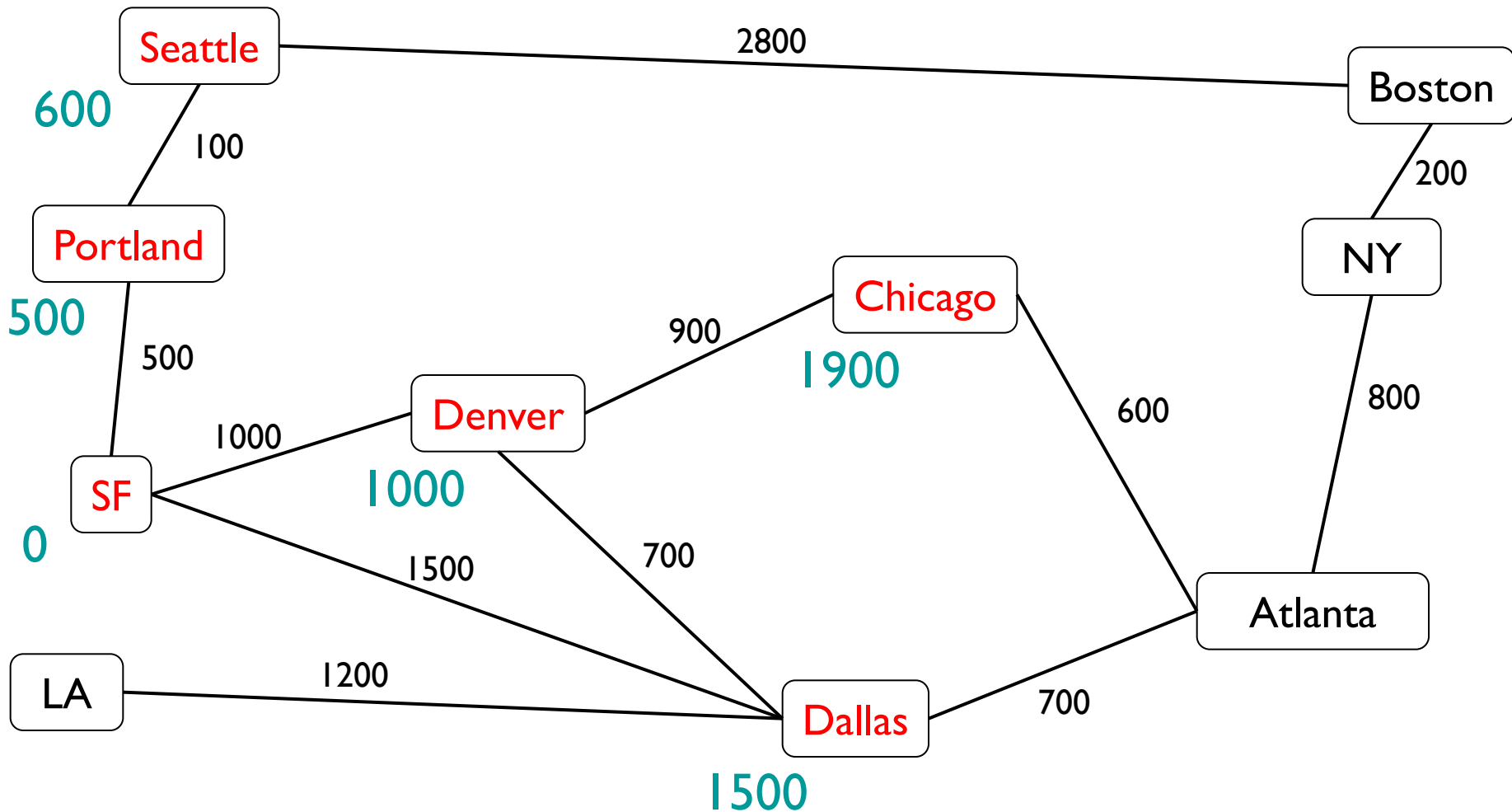
Current: 1900 SF->Den->Chi



SF->Dal->Atl;  
2200

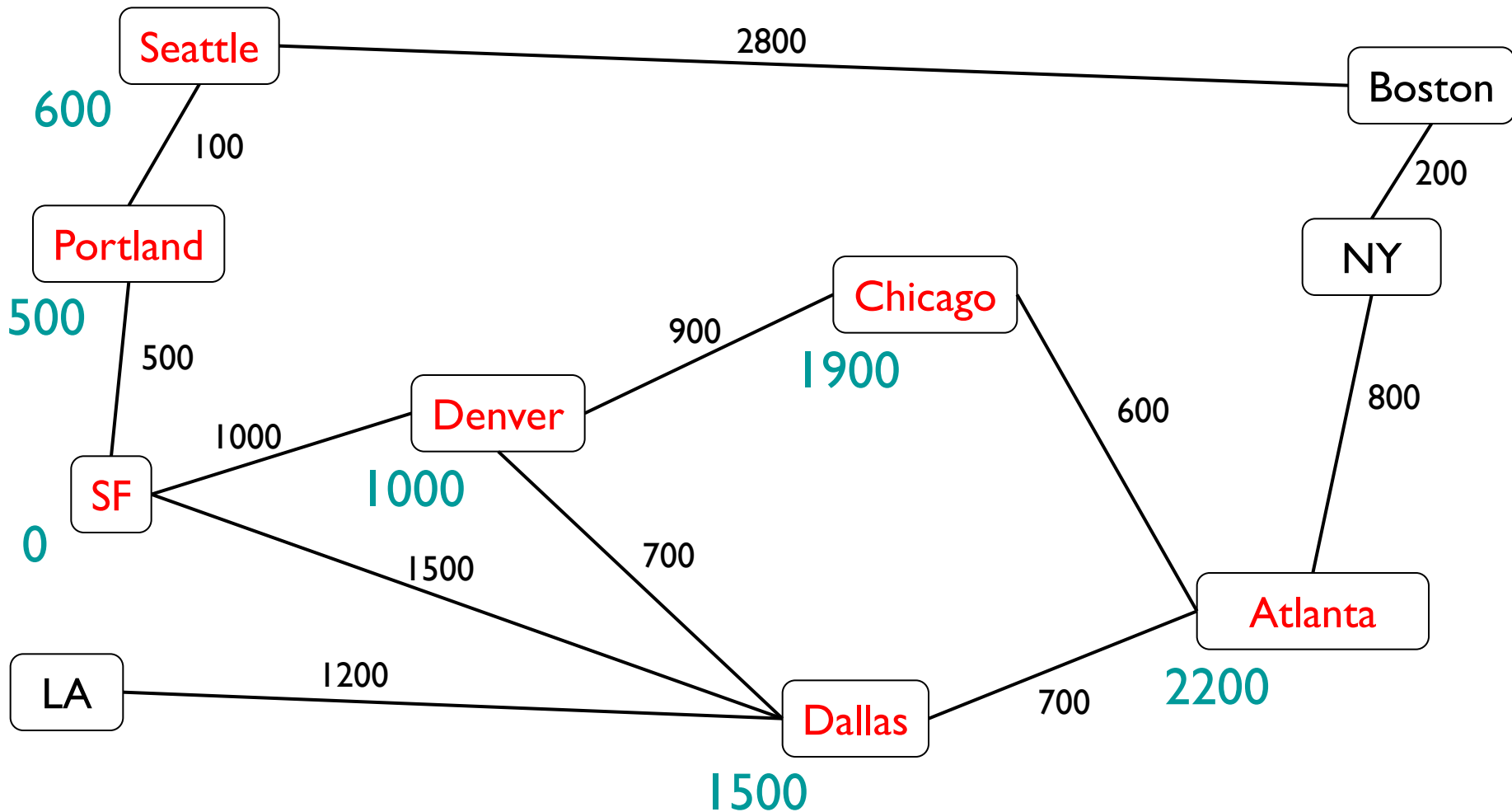
SF->Dal->LA;  
2700      3400

SF->Port->Sea->Bos



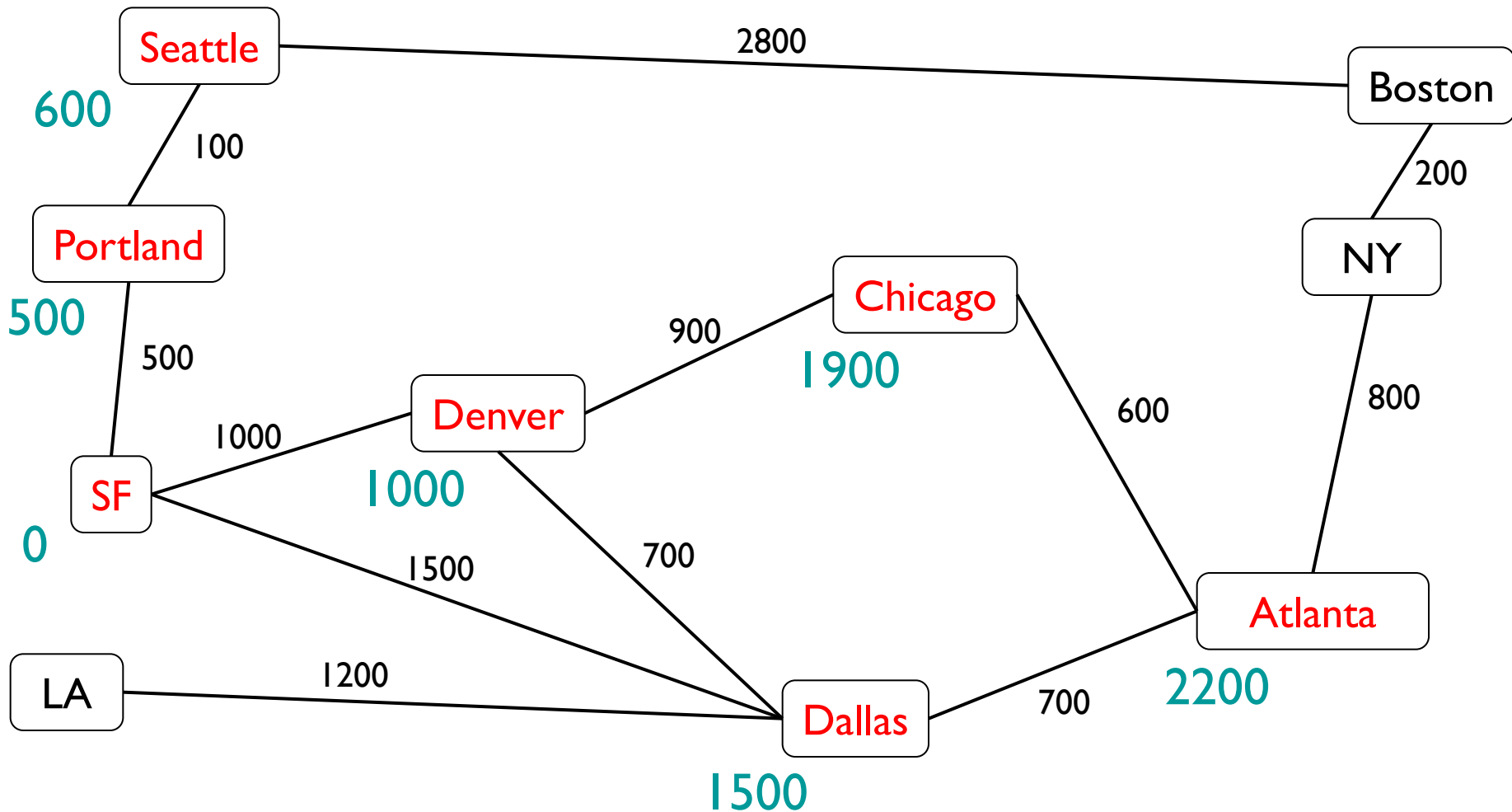
Current: 1900 SF->Den->Chi

- SF->Dal->Atl; 2200      SF->Den->Chi->Atl; 2500      SF->Dal->LA; 2700      SF->Port->Sea->Bos 3400



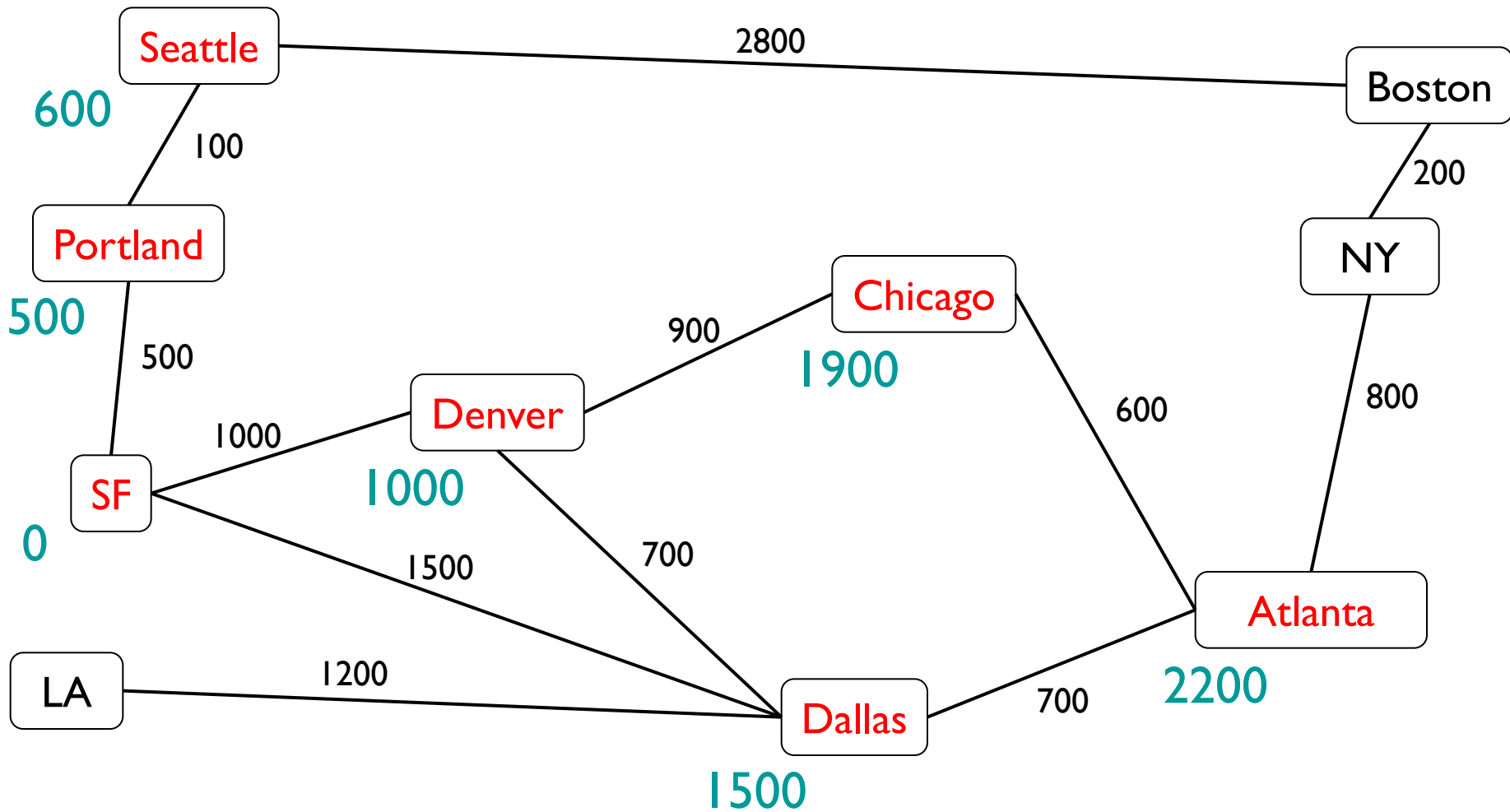
Current: 2200 SF->Dal->Atl

➔ SF->Den->Chi->Atl; 2500      SF->Dal->LA; 2700      SF->Port->Sea->Bos 3400



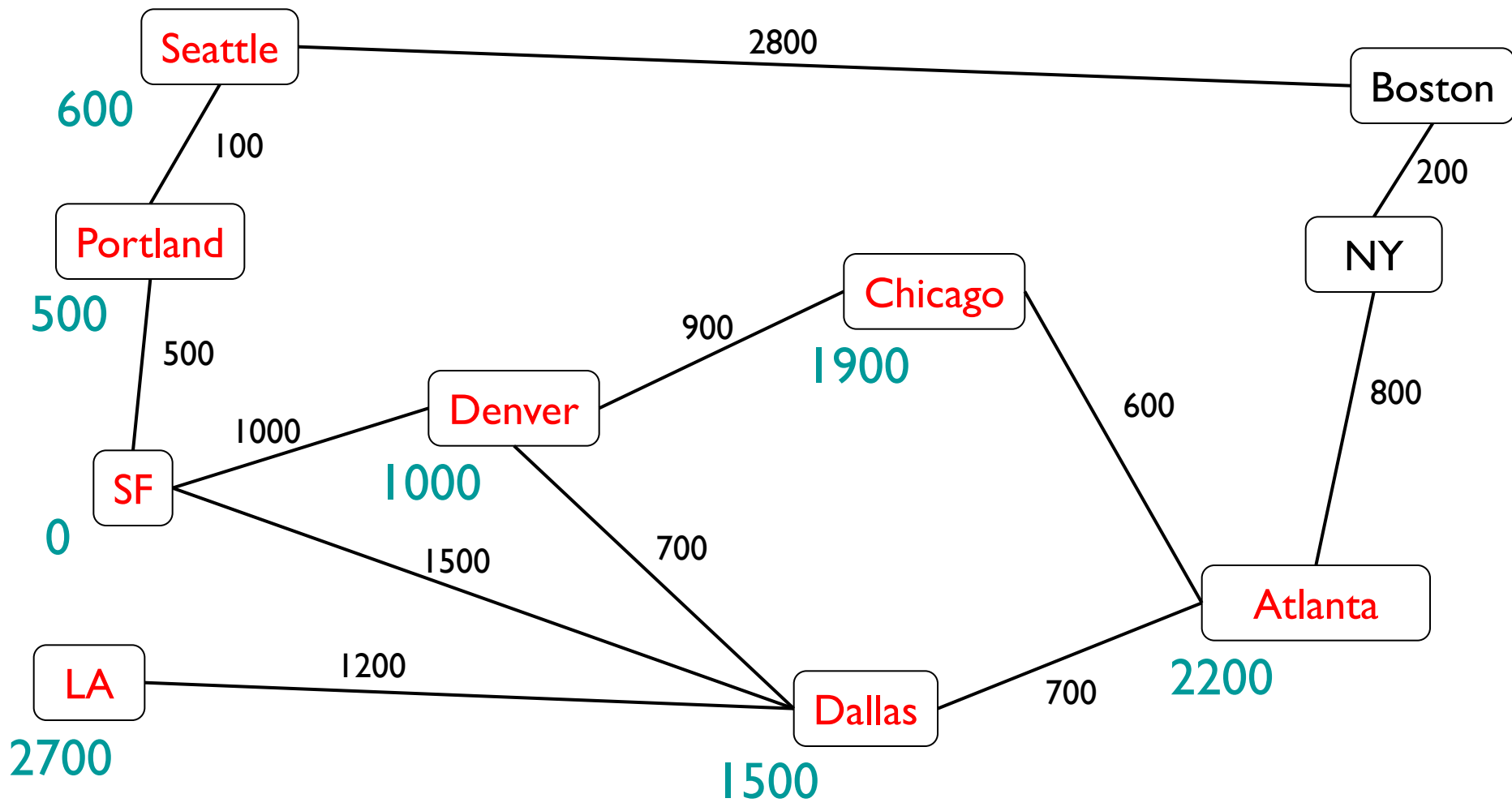
Current: 2200 SF->Dal->Atl

- SF->Den->Chi->Atl; 2500
- SF->Dal->LA; 2700
- SF->Dal->Atl->NY; 3000
- SF->Port->Sea->Bos 3400



Current: 2500 SF->Den->Chi->Atl

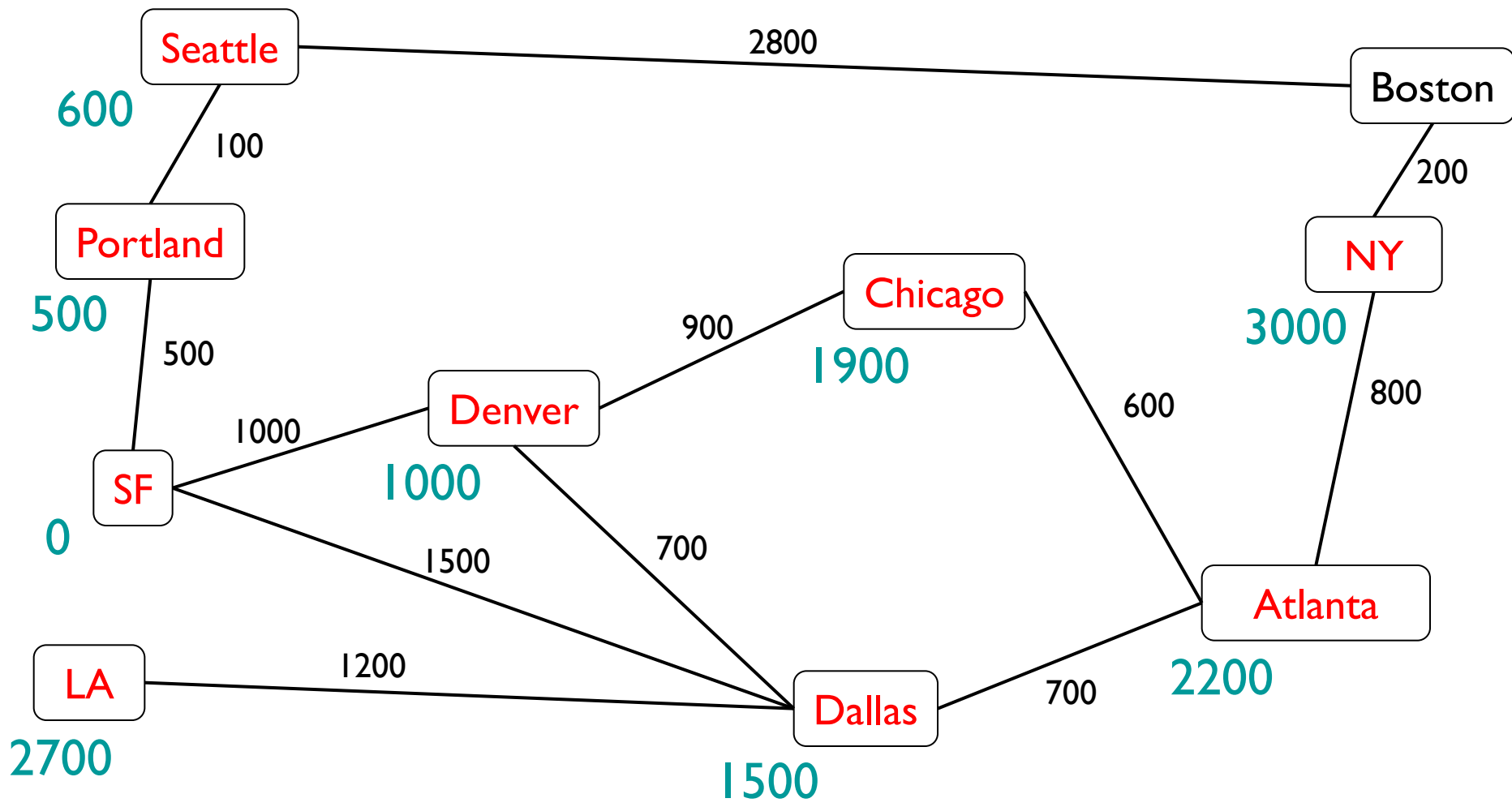
→ SF->Dal->LA; 2700      SF->Dal->Atl->NY; 3000      SF->Port->Sea->Bos 3400

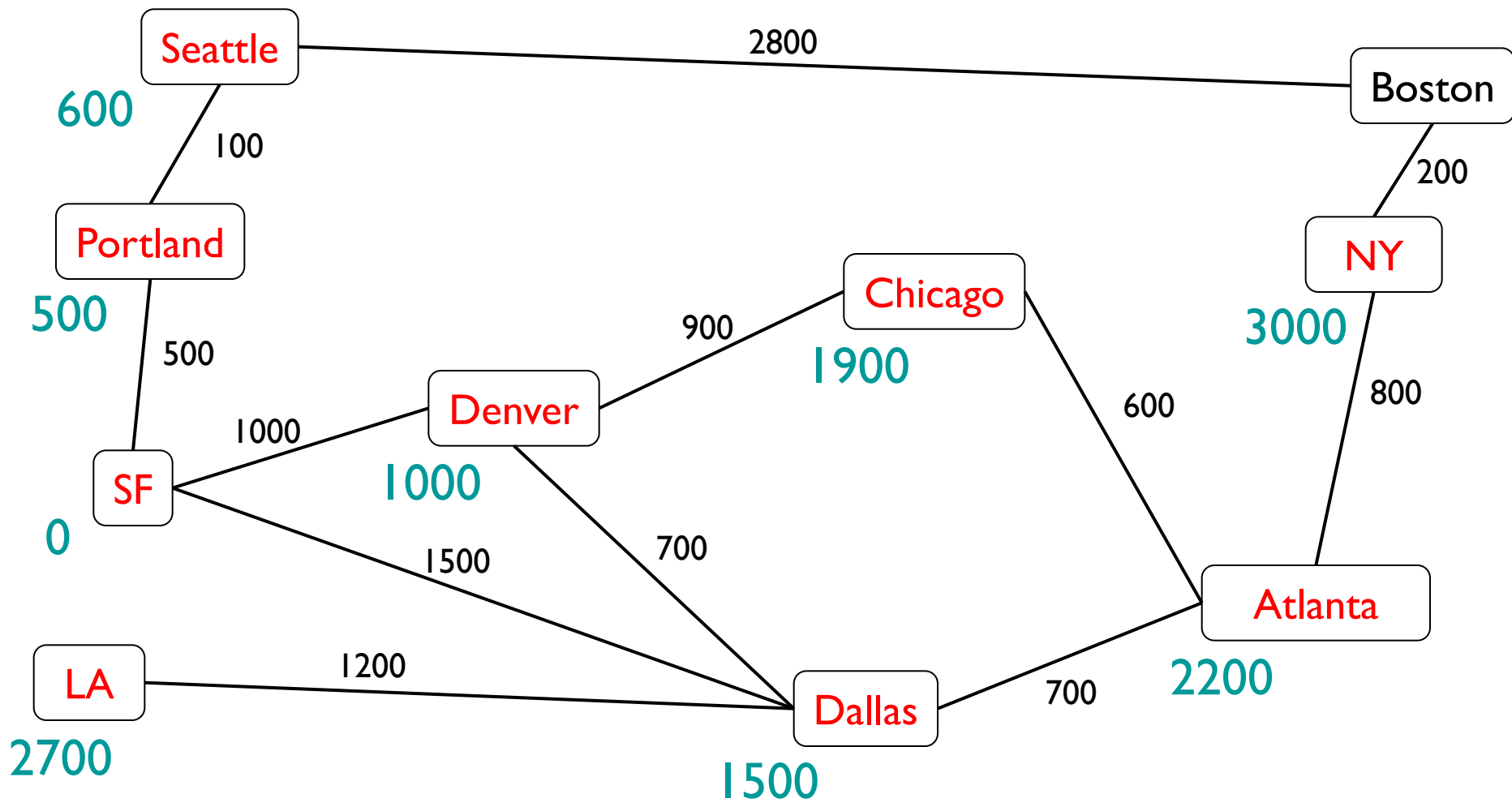


Current: 2700 SF->Dal->LA

➔ SF->Dal->Atl->NY;  
3000

SF->Port->Sea->Bos  
3400



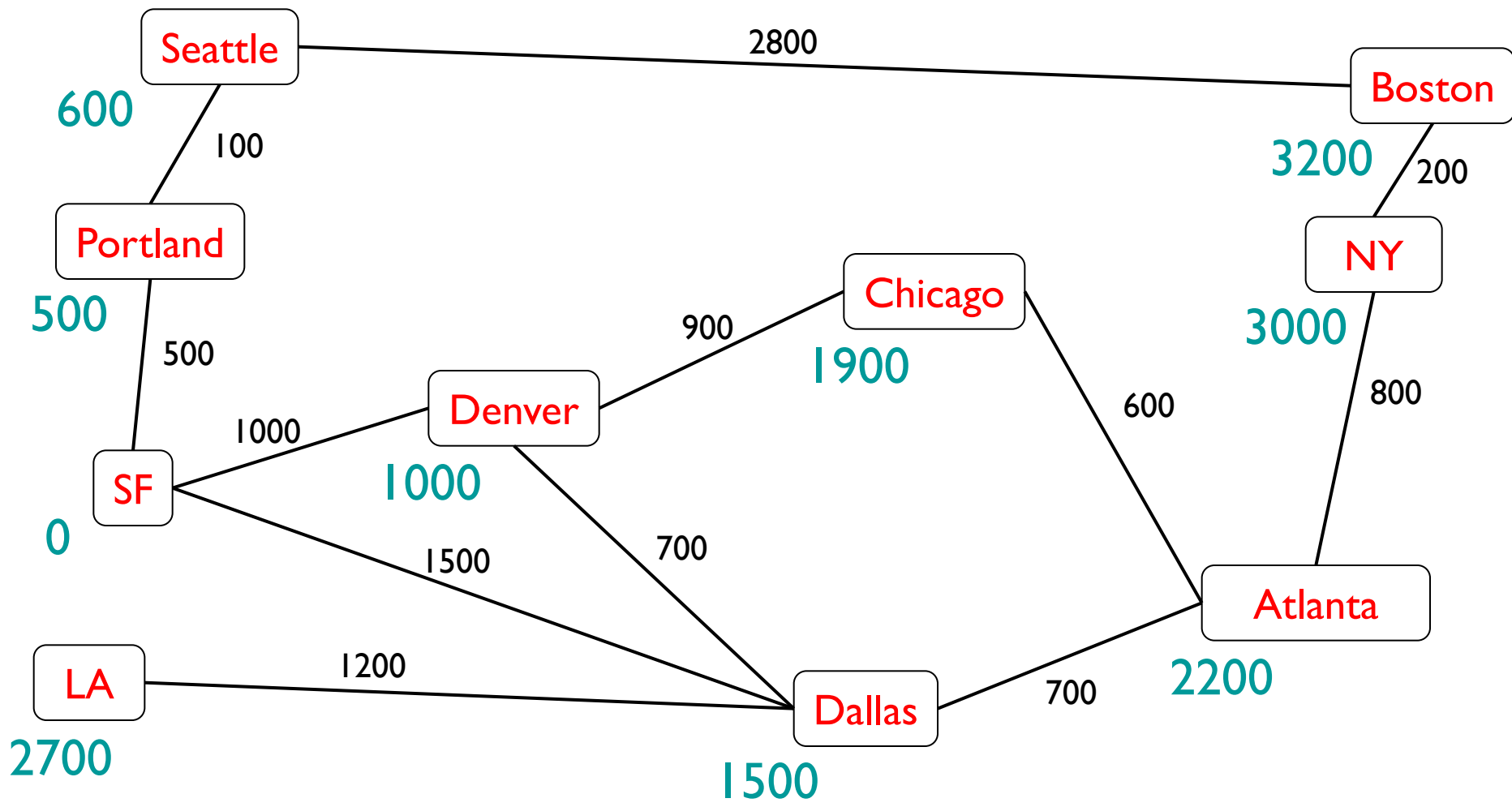


Current: 3000 SF->Dal->Atl->NY

➔ SF->Dal->Atl->NY->Bos;  
3200

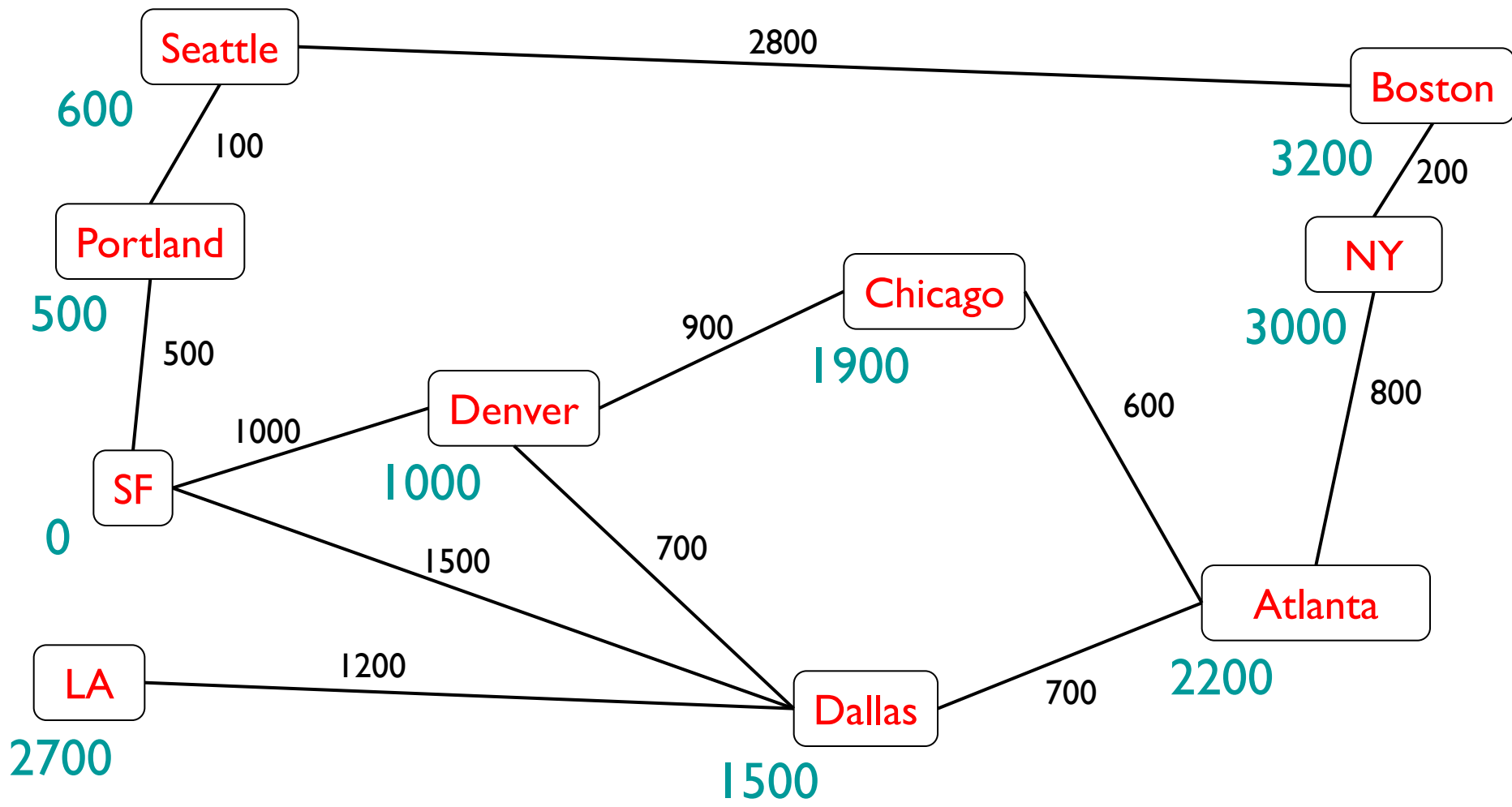
SF->Port->Sea->Bos  
3400



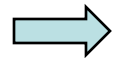


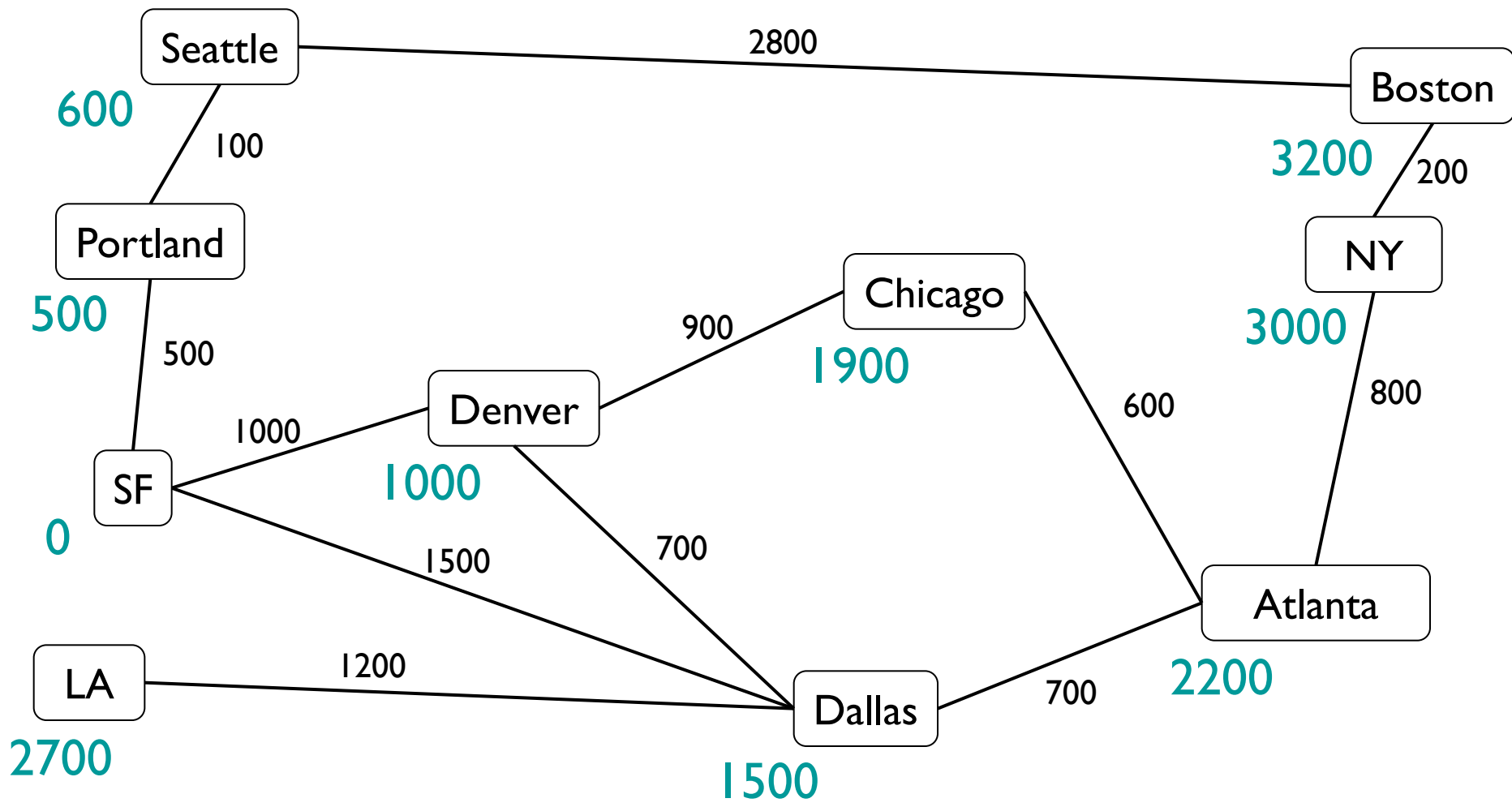
Current: 3200 SF->Dal->Atl->NY->Bos

➔ SF->Port->Sea->Bos  
3400



Current: 3400 SF->Port->Sea->Bos





Current:



# Dijkstra: Space Complexity

- Graph:  $O(|V| + |E|)$ 
  - Each vertex and edge uses a constant amount of space
- Priority Queue  $O(|E|)$ 
  - Each edge takes up constant amount of space
- Are there any hidden space costs?
- Result:  $O(|V| + |E|)$ 
  - Optimal in Big-O sense!

# Dijkstra : Time Complexity

Assume Map ops are  $O(1)$  time

Across *all* iterations of outer while loop

- Edges are added to and removed from the priority queue
  - But any edge is added (and removed) at most once!
  - Total PQ operation cost is  $O(|E| \log |E|)$  time
    - Which is  $O(|E| \log |V|)$  time
  - All other operations take constant time
- Thus time complexity is  $O(|E| \log |V|)$