

**CSCI 136**  
**Data Structures &**  
**Advanced Programming**

**Lecture 10**

**Fall 2017**

**Instructors: Bills**

# Administrative Details

- First Problem Set is online
- Due by 11:00 pm Thursday night
  - Drop it off in your instructor's CS mailbox outside of TCL 303
  - If next Friday is NOT Mountain Day, you can bring it to class instead!

# Last Time

- Measuring Growth
  - Big-O

# Today

- Applying  $O()$  to Compute Complexity
- Recursion
- Mathematical Induction (Weak)
- Recursion on Chains
- Mathematical Induction (Strong)

# Input-dependent Running Times

- Algorithms may have different running times for different inputs of a given size
- Best case (typically not useful)
  - Find item in first place that we look  $O(1)$
- Worst case (generally useful, sometimes misleading)
  - Don't find item in list  $O(n)$
- Average case (useful, but often hard to compute)
  - Linear search  $O(n)$

# Vectors vs. SLL

- Compare runtime of
  - size
  - addLast, removeLast, getLast
  - addFirst, removeFirst, getFirst
  - get(int index), set(E d, int index)
  - remove(int index)
  - contains(E d)
  - remove(E d)

# List Operations : Worst-Case

For a singly-linked list of  $n$  items

- $O(1)$ : `size()`, `isEmpty()`, `firstElement()`
  - `lastElement()` (if the list has a tail reference)
- $O(n)$ : `get(i)`, `set(i)`, `indexOf()`, `contains()`, `remove(elt)`, `remove(i)`
  - `lastElement()` (if the list *doesn't* have a tail reference)
- What about add/remove methods?
  - $O(1)$ : `addFirst()`, `removeFirst()`
  - $O(n)$ : `add(i)`, `add()/addLast()`, `remove(i)/remove()/removeLast()`

For a doubly-linked list, adding/removing from the tail becomes  $O(1)$

# Vector Operations : Worst-Case

For  $n = \text{Vector size}$  (*not capacity!*):

- $O(1)$ : `size()`, `capacity()`, `isEmpty()`, `get(i)`, `set(i)`, `firstElement()`, `lastElement()`
- $O(n)$ : `indexOf()`, `contains()`, `remove(elt)`, `remove(i)`
- What about add methods?
  - If Vector doesn't need to grow
    - `add(elt)` is  $O(1)$  but `add(elt, i)` is  $O(n)$
  - Otherwise, depends on `ensureCapacity()` time
    - Time to compute `newLength` :  $O(\log_2(n))$ 
      - If doubling; otherwise could be  $O(n)$  :  $n$  is new array size
    - Time to copy array:  $O(n)$
    - $O(\log_2(n)) + O(n)$  is  $O(n)$



# Vectors: Add Method Complexity

Suppose we grow the Vector's array by a fixed amount  $d$ . How long does it take to add  $n$  items to an empty Vector?

- The array will be copied each time its capacity needs to exceed a multiple of  $d$ 
  - At sizes  $0, d, 2d, \dots, n/d$ .
- Copying an array of size  $kd$  takes  $ckd$  steps for some constant  $c$ , giving a total of

$$\sum_{k=1}^{n/d} ckd = cd \sum_{k=1}^{n/d} k = cd \left(\frac{n}{d}\right) \left(\frac{n}{d} + 1\right) / 2 = O(n^2)$$

# Vectors: Add Method Complexity

Suppose we grow the Vector's array by doubling.

How long does it take to add  $n$  items to an empty Vector?

- The array will be copied each time its capacity needs to exceed a power of 2
  - At sizes 0, 1, 2, 4, 8 ...  $2^{\log_2 n}$
- Copying an array of size  $2^k$  takes  $c 2^k$  steps for some constant  $c$ , giving a total of

$$\sum_{k=1}^{\log_2 n} c 2^k = c \sum_{k=1}^{\log_2 n} 2^k = c (2^{\log_2 n + 1} - 1) = O(n)$$

- Very cool!

# Vectors vs. SLL

Operation	Vector	SLL
size	$O(1)$	$O(1)$
addLast	$O(1)$ or $O(n)$ (if resize)	$O(n)$
removeLast	$O(1)$	$O(n)$
getLast	$O(1)$	$O(n)$
addFirst	$O(n)$	$O(1)$
removeFirst	$O(n)$	$O(1)$
getFirst	$O(1)$	$O(1)$
get(i)	$O(1)$	$O(n)$
set(i)	$O(1)$	$O(n)$
remove(i)	$O(n)$	$O(n)$
contains	$O(n)$	$O(n)$
remove(o)	$O(n)$	$O(n)$

# Common Complexities

For  $n$  = measure of problem size:

- $O(1)$ : constant time and space
- $O(\log n)$ : divide and conquer algorithms, binary search
- $O(n)$ : linear dependence, simple list lookup
- $O(n \log n)$ : divide and conquer sorting algorithms
- $O(n^2)$ : matrix addition, selection sort
- $O(n^3)$ : matrix multiplication
- $O(n^k)$ : cell phone switching algorithms
- $O(2^n)$ : subset sum, graph 3-coloring, satisfiability, ...
- $O(n!)$ : traveling salesman problem (in fact  $O(n^2 2^n)$ )

# Recursion

- General problem solving strategy
  - Break problem into sub-problems of same type
  - Solve sub-problems
  - Combine sub-problem solutions into solution for original problem



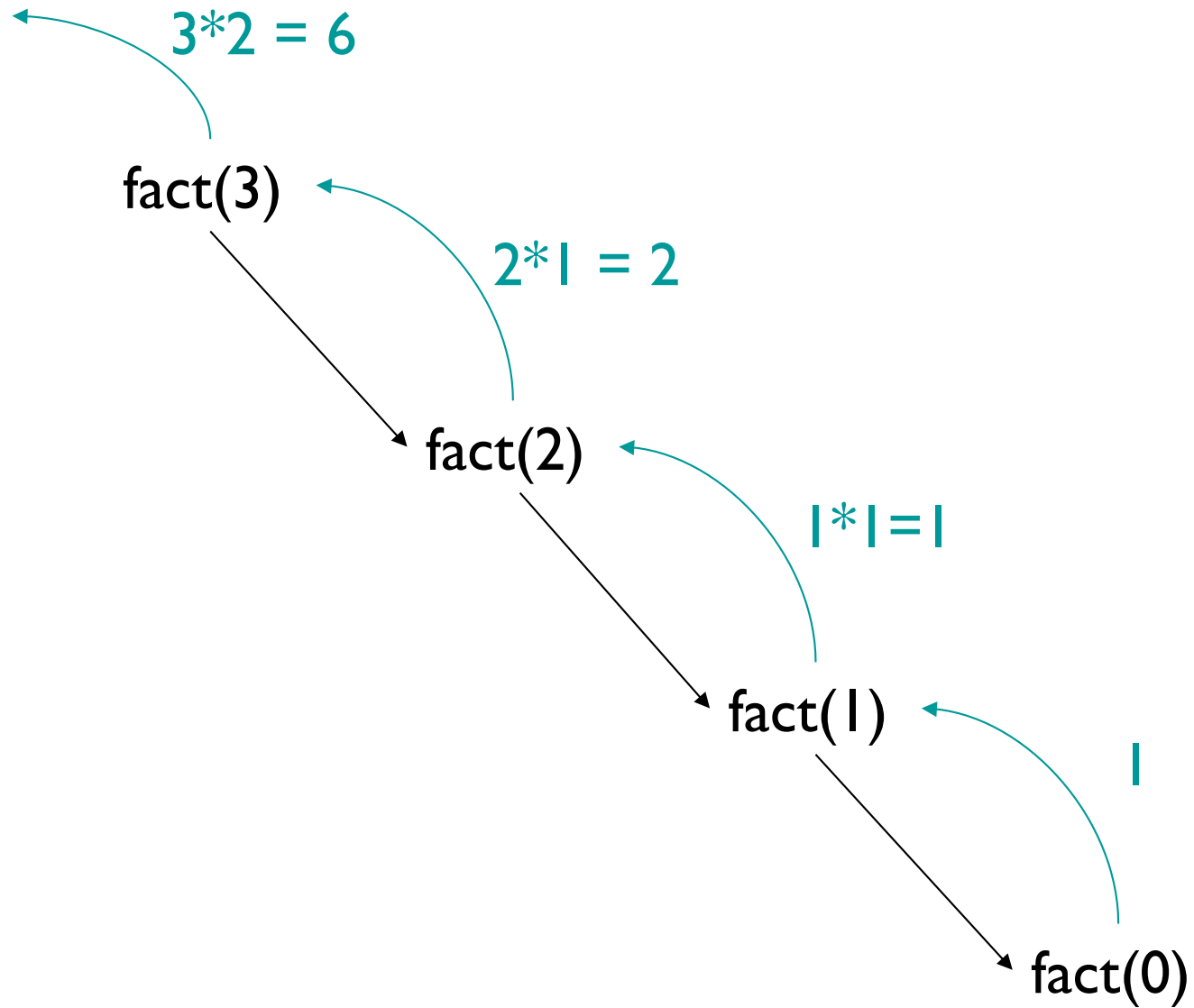
# Recursion

- Many algorithms are recursive
  - Can be easier to understand (and prove correctness/state efficiency of) than iterative versions
- Today we will review recursion and then talk about techniques for reasoning about recursive algorithms

# Factorial

- $n! = n \cdot (n-1) \cdot (n-2) \cdot \dots \cdot 1$
- How can we implement this?
  - We could use a for loop...
- But we could also write it recursively
  - $n! = n \cdot (n-1)!$
  - $0! = 1$

# Factorial





# Factorial

- In recursion, we always use the same basic approach
- What's our base case? [Sometimes “cases”]
  - $n=0$ :  $\text{fact}(0) = 1$
- What's the recursive relationship?
  - $n>0$ :  $\text{fact}(n) = n \cdot \text{fact}(n-1)$

# fact.java

```
public class fact{

    // Pre: n >= 0
    public static int fact(int n) {
        if (n==0) {
            return 1;
        }
        else {
            return n*fact(n-1);
        }
    }

    public static void main(String args[]) {
        System.out.println(fact(Integer.valueOf(args[0]).intValue()));
    }

}
```

# Fibonacci Numbers

- 1, 1, 2, 3, 5, 8, 13, ...
- Definition
  - $F_0 = 1, F_1 = 1$
  - For  $n > 1, F_n = F_{n-1} + F_{n-2}$
- Inherently recursive!
- It appears almost everywhere
  - Growth: Populations, plant features
  - Architecture
  - Data Structures!

# fib.java

```
public class fib{
    // pre: n is non-negative
    public static int fib(int n) {
        if (n==0 || n == 1) {
            return 1;
        }
        else {
            return fib(n - 1) + fib(n - 2);
        }
    }

    public static void main(String args[]) {
        System.out.println(fib(Integer.valueOf(args[0]).intValue()));
    }
}
```

# Towers of Hanoi

- Demo
- Base case:
  - One disk: Move from start to finish
- Recursive case (n disks):
  - Move smallest n-1 disks from start to temp
  - Move bottom disk from start to finish
  - Move smallest n-1 disks from temp to finish
- Let's try to write it....

# Recursion Tradeoffs

- Advantages
  - Often easier to construct recursive solution
  - Code is usually cleaner
  - Some problems do not have obvious non-recursive solutions
- Disadvantages
  - Overhead of recursive calls
  - Can use lots of memory (need to store state for each recursive call until base case is reached)
    - E.g. recursive fibonacci method

# Alternate contains() for Vector

```
// Helper method: returns true if elt has index in range from..to
public boolean contains(E elt, int from, int to) {
    if (from > to)
        return false; // Base case: empty range
    else
        return elt.equals(elementData[from]) ||
            contains(elt, from+1, to);
}

public boolean contains(E elt) {
    return contains(elt, 0, size()-1); }

```

- What's the time complexity of contains?
  - $O(\text{to} - \text{from} + 1) = O(n)$  (n is the portion of the array searched)
  - Why?
    - Bootstrapping argument! True for:  $\text{to} - \text{from} = 0$ ,  $\text{to} - \text{from} = 1$ , ...
- Let's formalize this bootstrapping idea....

# Mathematical Induction

- The mathematical cousin of recursion is induction
- Induction is a proof technique
- Reflects the structure of the natural numbers
- Use to simultaneously prove an infinite number of theorems!



# Mathematical Induction

- Example: Prove that for every  $n \geq 0$

$$P_n : \sum_{i=0}^n i = 0 + 1 + \dots + n = \frac{n(n+1)}{2}$$

- Proof by induction:

- Base case:  $P_n$  is true for  $n = 0$  (just check it!)
- Inductive hypothesis: If  $P_n$  is true for some  $n \geq 0$ , then  $P_{n+1}$  is true.

$$P_{n+1}: 0 + 1 + \dots + n + (n + 1) = \frac{(n + 1)((n + 1) + 1)}{2} = \frac{(n + 1)(n + 2)}{2}$$

$$\text{Check: } 0 + 1 + \dots + n + (n + 1) = \frac{n(n+1)}{2} + (n + 1) = \frac{(n+1)(n+2)}{2}$$

- First equality holds by assumed truth of  $P_n$ !

# Mathematical Induction

## Principle of Mathematical Induction (Weak)

Let  $P(0), P(1), P(2), \dots$  Be a sequence of statements, each of which could be either true or false. Suppose that

1.  $P(0)$  is true, and
2. For all  $n \geq 0$ , if  $P(n)$  is true, then so is  $P(n+1)$ .

Then all of the statements are true!

Note: Often Property 2 is stated as

2. For all  $n > 0$ , if  $P(n-1)$  is true, then so is  $P(n)$ .

Apology: I do this a lot, as you'll see on future slides!