

CS 134:

Dictionaries & Comparison to Lists

# Announcements & Logistics

- **Practice midterm** on Glow
  - Two versions: with and without solutions
  - Midterm from FI 8 with slight modifications to fit our syllabus
- **Lab 5** will be a short debugging lab released today
  - Expect most people to finish it during scheduled lab period
- **Midterm:** Thu Mar 17th. Slots: 6 - 7:30 pm, 8 - 9:30 pm in **Wachenheim B I I /002**
  - One room reserved for reduced distractions/extra time
- **Midterm review:** Tue Mar 15th, 7 - 8:30 pm in **TPL 203**
  - Try to review practice midterm before then!

**Do You Have Any Questions?**

# Midterm Material

- Labs 1-4
  - Lab 1: Intro to Python
  - Lab 2: Day of the week (if else statements)
  - Lab 3: Word puzzles (strings and loops)
  - Lab 4: Every vote counts (lists, strings, loops)
- Homeworks 2-5
- Lectures 1-15 + Jupyter notebooks
- Book: parts of Ch 1, 2, 3, 5, 8, 9 10, 12 (we won't ask questions directly from the book)

# Midterm Topics

- Variables, Types & Arithmetic Operators ( %, //, /, etc)
- Functions, Booleans and Conditionals (if elif else)
- Iteration: for loops, while loops, nested loops, list comprehensions
- Sequences:
  - Operators: +, [ ], [ : ], \* , in/not in, etc
  - Strings: string methods, iteration, etc
  - Lists: list methods (append, extend), iteration, lists of lists, etc
  - Ranges and tuples
- File reading: with ... as block
- Mutability and aliasing implications
- Misc: doctests, simplification of verbose code

# Last Time

- Discussed stable sorting and ways to override it using key function
- Introduced a new data structure: **dictionary**
  - unordered, **mutable** key, value pairs
  - Keys must be immutable and unique, while values need not be
  - E.g., a dictionary storing key-value pairs of names and ages:  
**{“Harry”: 12, “Hermione”: 12, “Hagrid”: 60}**

# Today's Plan

- Discuss dictionaries in more detail with examples
- Learn about dictionary methods such as `.get()`
- Use dictionaries to find the most frequent words from a `wordList`
- Examine differences between storing data as lists/nested lists vs. dictionaries

# Recap: Dictionaries

- A **dictionary** is a **mutable** collection that maps **keys** to **values**
- Enclosed with curly brackets, and contains comma-separated items
- An item in the dictionary pair is a **colon-separated key, value pair**.
- There is no ordering between the keys of a dictionary!

```
# sample dictionary  
zipCodes = {'01267': 'Williamstown', '60606': 'Chicago',  
            '48202': 'Detroit', '97210': 'Portland'}
```

key

value

- **Keys** must be an **immutable** type such as ints, strings, or tuples
- Keys of a dictionary must be **unique**: no duplicates allowed!
- **Values** can any Python object (numbers, strings, lists, tuples, etc.)

# Accessing Items in a Dictionary

- Dictionaries are unordered so we cannot index into them: no notion of first or second item, etc.
- We access a dictionary using its keys as the subscript
  - If the key exists, its corresponding value is returned
  - If the key does not exist, it leads to a **KeyError**

```
In [1]: # sample dictionary
zipCodes = {'01267': 'Williamstown', '60606': 'Chicago',
            '48202': 'Detroit', '97210': 'Portland'}
```

```
In [2]: # what US city has this zip code?
zipCodes['60606']
```

```
Out[2]: 'Chicago'
```

value associated with key '60606'

```
In [3]: # what US city has this zip code?
zipCodes['48202']
```

```
Out[3]: 'Detroit'
```



# Adding a Key, Value Pair

- Dictionaries are mutable, so we can add items or remove items from it
- To add a new key, value pair, we can simply assign the key to the value using: `dictName[key] = value`

```
In [5]: zipCodes['11777'] = 'Port Jefferson'
```

```
In [6]: zipCodes
```

Add key, value pair '11777': 'Port Jefferson'

```
Out[6]: {'01267': 'Williamstown',  
         '60606': 'Chicago',  
         '48202': 'Detroit',  
         '97210': 'Portland',  
         '11777': 'Port Jefferson'}
```

- If the key already exists, an assignment operation as above will **overwrite** its value and assign it the new value

# Operations on Dictionaries

- Just like sequences, we can use the `len()` function on dictionaries to find out the number of keys it contains
- To check if a key exists (or does not exist) in a dictionary, we can use the `in` (`not in`) operator respectively

```
In [6]: zipCodes
```

```
Out[6]: {'01267': 'Williamstown',  
        '60606': 'Chicago',  
        '48202': 'Detroit',  
        '97210': 'Portland',  
        '11777': 'Port Jefferson'}
```

```
In [7]: len(zipCodes)
```

```
Out[7]: 5
```

```
In [8]: '90210' in zipCodes
```

```
Out[8]: False
```

```
In [9]: '01267' in zipCodes
```

```
Out[9]: True
```

Should always check if a key exists before accessing it's value in a dictionary

# Creating Dictionaries

- Several ways to create dictionaries:
  - **Direct assignment:** provide key, value pairs delimited with `{ }`
  - Start with empty dict and add key, value pairs
    - Empty dict is `{}` or `dict()`
  - Apply the built-in function `dict()` to a list of tuples

```
In [1]: # direct assignment
scrabbleScore = {'a':1, 'b':3, 'c':3, 'd':2, 'e':1,
                 'f':4, 'g':2, 'h':4, 'i':1, 'j':8,
                 'k':5, 'l':1, 'm':3, 'n':1, 'o':1,
                 'p':3, 'q':10, 'r':1, 's':1, 't':1,
                 'u':1, 'v':8, 'w':4, 'x':8, 'y':4, 'z':10}
```

**Note:** keys may be listed in any order

# Creating Dictionaries

- Direct assignment: provide key, value pairs delimited with { }
- Start with empty dict and add key, value pairs
  - Empty dict is {} or dict()
- Apply the built-in function dict() to a list of tuples

```
In [2]: # accumulate in a dictionary
verse = "let it be,let it be,let it be,let it be,there will be an answer,let it be"
counts = {} # empty dictionary
for line in verse.split(','):
    if line not in counts:
        counts[line] = 1 # initialize count
    else:
        counts[line] += 1 # update count
counts
```

```
Out[2]: {'let it be': 5, 'there will be an answer': 1}
```

```
In [3]: # use dict() function
dict([('a', 5), ('b', 7), ('c', 10)])
```

```
Out[3]: {'a': 5, 'b': 7, 'c': 10}
```

**Note:** keys may be listed in any order

# Iterating Over a Dictionary

- Can **iterate over the keys** of a dictionary directly in a for loop
- Note: In Python 3.6 and beyond, the keys and values of a dictionary are **iterated over in the same order in which they were created**.
- In general, this behavior may vary across different Python versions, and it depends on the dictionary's history of insertions and deletions.

```
calendar = { 'Jan' : 31, 'Feb' : 28, 'Mar' : 31, 'Apr' : 30,  
            'May' : 31, 'Jun' : 30, 'Jul' : 31, 'Aug' : 31,  
            'Sep' : 30, 'Oct' : 31, 'Nov' : 30, 'Dec' : 31 }
```

```
for day in calendar:  
    print(day, calendar[day], end=" ")
```

```
Jan 31 Feb 28 Mar 31 Apr 30 May 31 Jun 30 Jul 31 Aug 31 Sep 30 Oct 31  
Nov 30 Dec 31
```

# Dictionary Example: **frequency**

- Let's write a function **frequency** that takes as input a list of words **wordList** and returns a dictionary **freqDict** with the unique words in **wordList** as keys, and their number of occurrences in **wordList** as values
- For example if wordList is:

```
['hello', 'world', 'hello', 'earth', 'hello', 'earth']
```

the function should return a dictionary with the following items:

```
{'hello': 3, 'world': 1, 'earth': 2}
```

# Dictionary Example: `frequency`

- Let's write a function `frequency` that takes as input a list of words `wordList` and returns a dictionary `freqDict` with the unique words in `wordList` as keys, and their number of occurrences in `wordList` as values

```
def frequency(wordList):  
    """Given a list of words, returns a dictionary of word frequencies"""  
    freqDict = {} # initialize accumulator as empty dict  
    for word in wordList:  
        if word not in freqDict:  
            freqDict[word] = 1 # add key with count 1  
        else:  
            freqDict[word] += 1 # update count  
    return freqDict
```

# Useful Dictionary Method: `.get()`

- The following code pattern is extremely common when using dictionaries:

```
if aKey is not in myDict:  
    myDict[aKey] = initVal # add key  
else: # if already exists  
    myDict[aKey] += step # update val
```

- Instead of using `if`, `else` to do above, it is preferable to use the `.get()` method for dictionaries instead



# Useful Dictionary Method: `.get()`

- `get()` method is an alternative to using subscript notation `[]` to get the value associated with a key in a dictionary **without** checking for its existence
- It takes two arguments: a key, and an *optional* default value to use if the key is not in the dictionary
- It returns the value associated with the given key
- If key does not exist it returns the default value (if given), otherwise returns **None**.
- Syntax: `val = myDict.get(aKey, defaultVal)`

key whose value we are looking for in **myDict**

if key doesn't exist, return this default value

# Useful Dictionary Method: `.get()`

- `get()` method **does not modify the dictionary** it is called on

```
ids = {'rb17': 'Rohit', 'jral': 'Jeannie',  
       'sfreund': 'Steve', 'lpd2': 'Lida'}
```

```
ids.get('lpd2', 'Ephelia')
```

'Lida'

```
ids.get('ss32', 'Ephelia')
```

'Ephelia'

```
ids # .get does not change the dictionary
```

```
{'rb17': 'Rohit', 'jral': 'Jeannie', 'sfreund': 'Steve', 'lpd2': 'Lida'}
```

```
print(ids.get('ks123'))
```

None

# Example: frequency with .get()

- Let's rewrite `frequency` function using `.get()` instead of `if else`

```
def frequency(wordList):  
    """Given a list of words, returns a dictionary of word frequencies"""  
    freqDict = {} # initialize accumulator as empty dict  
    for word in wordList:  
        if word not in freqDict:  
            freqDict[word] = 1 # add key with count 1  
        else:  
            freqDict[word] += 1 # update count  
    return freqDict
```

- What should we write instead inside the for loop?

```
def frequency(wordList):  
    """Given a list of words, returns a dictionary of word frequencies"""  
    freqDict = {} # initialize accumulator as empty dict  
    for word in wordList:  
        # what should we write instead?  
        freqDict[word] = freqDict.get(word, 0) + 1  
    return freqDict
```

# Dictionary Methods: `keys()`, `values()`, `items()`

- Dictionary methods `keys()`, `values()`, `items()`: return a (list like) object containing only the keys, values, and items, respectively.

```
calendar = {'Jan': 31, 'Feb': 28, 'Mar': 31, 'Apr': 30,  
            'May': 31, 'Jun': 30, 'Jul': 31, 'Aug': 31,  
            'Sep': 30, 'Oct': 31, 'Nov': 30, 'Dec': 31}
```

```
calendar.keys()
```

```
dict_keys(['Jan', 'Feb', 'Mar', 'Apr', 'May', 'Jun', 'Jul', 'Aug', 'Sep',  
'Oct', 'Nov', 'Dec'])
```

```
calendar.values()
```

```
dict_values([31, 28, 31, 30, 31, 30, 31, 31, 30, 31, 30, 31])
```

```
calendar.items()
```

```
dict_items([('Jan', 31), ('Feb', 28), ('Mar', 31), ('Apr', 30), ('May',  
31), ('Jun', 30), ('Jul', 31), ('Aug', 31), ('Sep', 30), ('Oct', 31),  
('Nov', 30), ('Dec', 31)])
```

# Note: Iterating over/membership in Dicts

By default loops and membership operators iterate over **keys** in the dictionary. Hence, we rarely need to use `.keys()` explicitly.

When iterating over the keys in a dictionary, just write

```
for someKey in someDict:
```

rather than

```
for someKey in someDict.keys():
```



because they have a similar meaning, but the latter creates an unnecessary object.

Similarly, when testing if a key is in a dictionary, just write

```
if someKey in someDict:
```

rather than

```
if someKey in someDict.keys():
```



# Summary of Dictionary Methods

Method	Result	Mutates dict?
<code>.keys()</code>	Returns all keys as a <code>dict_keys</code> object	No
<code>.values()</code>	Returns all values as a <code>dict_values</code> object	No
<code>.items()</code>	Returns (key, value) pairs as a <code>dict_items</code> object	No
<code>.get(key [, val])</code>	Returns corresponding value if <code>key</code> in dict, else returns <code>val</code> . The notation <code>[, val]</code> means that the second argument <code>val</code> is optional and can be omitted. If it is not specified, it defaults to <code>None</code> .	No
<code>.pop(key)</code>	Removes <code>key:val</code> pair with given <code>key</code> from dict and returns associated <code>val</code> . Signals <code>KeyError</code> if key not in dict.	Yes
<code>.update(dict2)</code>	Adds new key:value pairs from <code>dict2</code> to dict, replacing any key:value pairs with existing key.	Yes
<code>.clear()</code>	Removes all items from the dict.	Yes

# Dictionaries and Mutability

- Dictionaries are mutable

- Has implications for aliasing!

```
>>> myDict = {1: 'a', 2: 'b', 3: 'c'}
```

```
>>> newDict = myDict # alias!
```

```
>>> newDict[4] = 'd'
```

```
>>> myDict # changes as well
```

```
{1: 'a', 2: 'b', 3: 'c', 4: 'd'}
```

- Note: dictionary keys **must be immutable**
  - Cannot have keys of mutable types such as list
- Dictionary values can be any type (mutable values such as lists)

# Dictionary Comprehensions

- Similar to list comprehensions, useful for mapping and filtering
- Remember: when iterating over a dictionary, we are iterating over its **keys** (in the order of creation)

```
calendar = {'Jan': 31, 'Feb': 28, 'Mar': 31, 'Apr': 30,  
            'May': 31, 'Jun': 30, 'Jul': 31, 'Aug': 31,  
            'Sep': 30, 'Oct': 31, 'Nov': 30, 'Dec': 31}
```

```
days30 = {k: calendar[k] for k in calendar if calendar[k] == 30}
```

```
days30
```

```
{'Apr': 30, 'Jun': 30, 'Sep': 30, 'Nov': 30}
```



# Sorting Operations with Dictionaries

- Let's say we're developing a Scrabble app
- We can store the score for each letter as a dictionary as below

```
scrabbleScore = {'a':1, 'b':3, 'c':3, 'd':2, 'e':1,  
                'f':4, 'g':2, 'h':4, 'i':1, 'j':8,  
                'k':5, 'l':1, 'm':3, 'n':1, 'o':1,  
                'p':3, 'q':10, 'r':1, 's':1, 't':1,  
                'u':1, 'v':8, 'w':4, 'x':8, 'y':4, 'z': 10}
```

- If we call the **sorted()** function on a dictionary, it returns an ordered list of all the keys.

# Sorting Operations with Dictionaries

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                'f':4, 'g':2, 'h':4, 'i':1, 'j':8,  
                'k':5, 'l':1, 'm':3, 'n':1, 'o':1,  
                'p':3, 'q':10, 'r':1, 's':1, 't':1,  
                'u':1, 'v':8, 'w':4, 'x':8, 'y':4, 'z':10}
```

- By default, if we call the `sorted()` function on a dictionary, it returns an ordered list of all the keys.

```
print(sorted(scrabbleScore))
```

```
['a', 'b', 'c', 'd', 'e', 'f', 'g', 'h', 'i', 'j', 'k', 'l', 'm', 'n', 'o', 'p', 'q', 'r',  
's', 't', 'u', 'v', 'w', 'x', 'y', 'z']
```

# Sorting By Value

- However, this behavior isn't super interesting in our case. What if we wanted to sort on the scores of the letters (from highest to lowest) instead?
- This known as a **sort-by-value** as opposed to **sort-by-key**
- As before, using **sorted()** with a **key** function (not be confused with the keys in the dictionary) comes in handy.
- We'll need to spend just a little more effort to come up with a suitable function
- Ex: Jupyter notebook

# Sorting By Value

- We first use the `items()` method to generate a list of tuples, where each tuple is a key-value pair
- We then sort this list based on value (second element of each tuple.)

```
def getScrabbleScore(letterScoreTuple):  
    """  
    Takes a tuple corresponding to (letter, score) and returns the score  
    """  
    return letterScoreTuple[1]  
  
# first use the items method to get a list of (key, value) tuples  
# and then sort using a key function  
scrabbleItems = scrabbleScore.items()  
sortedScrabbleItems = sorted(scrabbleItems, key=getScrabbleScore, reverse=True)  
print(sortedScrabbleItems[0:3], '...', sortedScrabbleItems[-3:])
```

```
[('q', 10), ('z', 10), ('j', 8)] ... [('s', 1), ('t', 1), ('u', 1)]
```

- We can also use a list comprehension after to extract just the keys if desired.

# Advantages of Using Dictionaries

- Easy access based on keys (some sort of named reference) rather than indices (referenced by position in the list)
- For example, to access the Scrabble score for 'p' using a dictionary we simply ask for `scrabbleScore['p']`
- In contrast when the letters and scores are stored as two ordered lists (or even as a list of lists) that looks like this:

```
print(letters[0:3], '...', letters[-3:])  
print(scores[0:3], '...', scores[-3:])
```

```
['a', 'b', 'c'] ... ['x', 'y', 'z']  
[1, 3, 3] ... [8, 4, 10]
```

- We now have to be able to “recall” or find where 'p' is located in these lists and then extract its corresponding score.

# Advantages of Using Dictionaries

- Side-by-side this is what that would look like

```
# dictionary access  
scoreDict = scrabbleScore['p']
```

```
# list access  
indexP = letters.index('p')  
scoreList = scores[indexP]
```

```
# confirm they're the same  
scoreDict == scoreList
```

True

- Though list access seems like a minor notational inconvenience, it also has computational implications
- Every time we try to find the position of a letter, we are actually looping over each letter until we find the one we're looking for (in fact, we could have re-written the list access explicitly using a loop.)
- The dictionary access on the other hand instantly knows what it's looking for

# Advantages of Using Dictionaries

- Let's see how this difference plays out when we ask the computer to do 6 million queries (people across the world play a lot of Scrabble!)
- We'll use our old friend the **time** module for this

```
# random letters to query several times
randomLetters = ['a', 'l', 'q', 's', 'y', 'z']*1000000
print("Number of queries", len(randomLetters))
```

```
Number of queries 6000000
```

- Ex: Jupyter notebook

# Advantages of Using Dictionaries

- Even in this really simple case, dictionaries give a 4x speed-up!

```
# generate list of letters and scores
letters = list(scrabbleScore.keys())
scores = list(scrabbleScore.values())

# time using list operations to compute total score
startTime = time.time()
totalScore = 0

for query in randomLetters:
    index = letters.index(query)
    totalScore += scores[index]

endTime = time.time()
timeList = endTime - startTime
print("Time taken using a list", round(timeList, 3), "seconds")
```

Time taken using a list 2.219 seconds

```
# time using dictionaries to compute total score
startTime = time.time()
totalScore = 0

for query in randomLetters:
    totalScore += scrabbleScore[query]

endTime = time.time()
timeDict = endTime - startTime
print("Time taken using a dictionary", round(timeDict, 3), "seconds")
```

Time taken using a dictionary 0.589 seconds



# Benefits of Dictionaries

- Dictionaries can be a **more efficient** alternative to lists for some operations
- When we **insert** into an ordered sequence like a list
  - We need to "move over" all elements to make space
  - This is an expensive operation: worst case (insert at beginning of list) takes time proportional to number of items stored in list
- When we **search** for an item in an list:
  - If we are not careful we might have to compare to every item stored
- Using a dictionary instead of a list means:
  - Can **insert more efficiently** (without having to move any other item)
  - Can support **more efficient queries** on average (if keys are "hashes" of values)
- To learn more about about efficiency of data structures, take CS136/CS256!