

## Lecture 20

Homework #20: 3.5.2 c, 3.5.2 d, 3.5.7, 3.5.8, 3.5.14 b, 3.5.14 a , c  
[NOTE: For 3.5.8, use 3.5.7, but don't have to prove it.]

Today we turn to

Periodicity properties:

Like regular languages, CFLs display some periodicity (i.e., repetition).

Let's look at an example in preparation for a Pumping Lemma for CFLs.

Consider a CFG with the following rules:

$S \rightarrow AB$

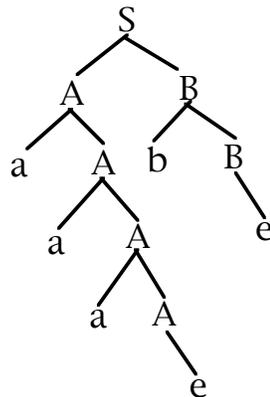
$A \rightarrow aA$

$A \rightarrow e$

$B \rightarrow bB$

$B \rightarrow e$

and now consider the following parse tree



Pumping Thm for CFLs: Let  $G$  be a CFG. Then there is a number  $K$ , depending on  $G$ , such that any string  $w$  in  $L(G)$  of length  $> K$  can be written as  $w = uvxyz$  such that either  $v$  or  $y$  is non-empty and  $uv^nxy^nz$  is in  $L(G)$  for every  $n \geq 0$ .

Pf. Let  $G = (V, \Sigma, R, S)$

We'll show that there is a number  $K$  such that any string of terminals with length  $> K$  has a derivation of the form:

$S \Rightarrow^* uAz \Rightarrow^* uvAyz \Rightarrow^* uvxyz$

$u, v, x, y, z \in \Sigma^*$

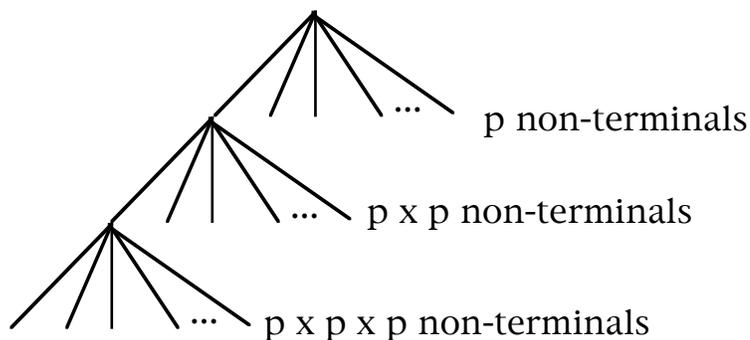
$A \in V - \Sigma$

$v$  or  $y$  non-empty

and we can repeat  $A \Rightarrow^* vAy$  multiple times to get  $v^n A y^n$ .

Let  $p$  = largest number of symbols on the right-hand side of a rule in  $R$ .

Now, a parse tree of height  $m$  can have at most  $p^m$  leaves.



So if a parse tree  $T$  has yield of length  $> p^m$ , then  $T$  has some path of length  $> m$ .

Now, let  $m = |V - \Sigma|$  (the number of non-terminals)

and let  $K = p^m$

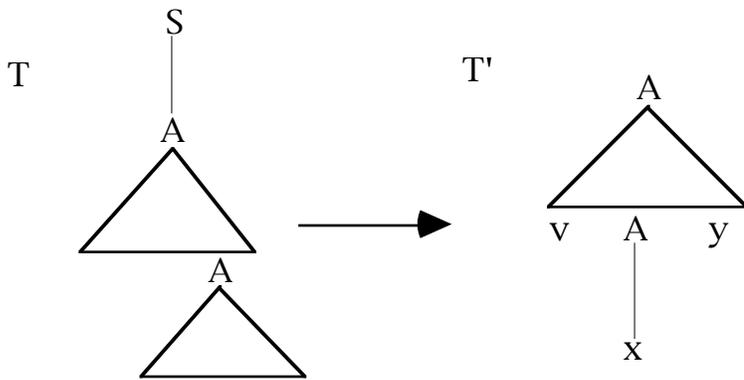
Now suppose  $w$  has length  $> p^m$  (i.e.,  $K$ )

And let  $T$  be its parse tree.

$T$  has at least 1 path with number of nodes  $> |V - \Sigma| + 1$ .

So at least that 1 path has 2 nodes labeled with the same non-terminal.

Follow the path being discussed up toward the root of the tree, stopping at the second instance of the repeated non-terminal. Now consider the subtree of  $T$  rooted at this node. Call the subtree  $T'$ .



Both  $v$  and  $y$  can't be  $\epsilon$ . (that is, can't have  $v = y = \epsilon$ ).  
 if so, you could just remove the part of the path from  $A$  to  $A$ , with no effect on the yield of the tree. If you removed all such segments you'd get a tree with yield  $w$  and height  $< m$ .

Now, this defines what  $u, v, w, x, y$  are.  
 Clearly, you can repeat the  $A$ -rooted subtree any number of times, including 0 - that is, by "pulling up" the 2nd  $A$ -rooted subtree.

Note that the number  $p$  we've discussed here is called the **fanout** of  $G$  and is denoted  $\phi(G)$ .

We do not use the Pumping Theorem to show that a language is context free. We do, however, use it to show that a language is not (by showing that the languages violate the theorem).

Classic example.  $\{a^n b^n c^n : n \geq 0\}$  is not context free.

Pf. Suppose  $L = \{a^n b^n c^n : n \geq 0\}$  is context free.  
 Then  $L = L(G)$  for  $G = (V, \Sigma, R, S)$

Let  $K$  be the constant specified by the pumping thm, and let  $j > K/3$ .

Then  $w = a^j b^j c^j \in L(G)$  and  $|w| > K$ .

So  $w$  can be written as  $uvxyz$  such that  $v$  or  $y \neq \epsilon$ , and  $uv^i xy^i z \in L(G)$  for all  $i \geq 0$ .

Now consider the possibilities for  $v$  and  $y$ :

- I.  $v$  or  $y$  contains 2 different symbols from  $\{a, b, c\}$ . Then they will alternate when pumped, producing a string not in the language.
- II.  $v$  and/or  $y$  contain all a's or all b's or all c's. Pumping on any one or two of the 3 symbols leads to an imbalance.