

## Lecture 18

Homework #18: 3.4.1, Misc (see handout – prove by induction on the number of steps in the computation)

From last time: recall that we noted that PDAs accept exactly the CFLs. Gave some intuition for why the stack memory of the PDA is appropriate.

Today: we discuss more formally the equivalence of languages accepted by PDAs and generated by CFGs.

Recall

- (1) a derivation is a leftmost derivation iff the nonterminal replaced at each step is the leftmost nonterminal symbol.
- (2) For any CFG  $G = (V, \Sigma, R, S)$  and any string  $w \in \Sigma^*$ ,  
 $S \Rightarrow^* w$  iff  $S \Rightarrow^{*L} w$ .  
i.e., any string that can be derived from a grammar has a leftmost derivation.

And now for our theorem

Thm. The class of languages accepted by PDAs is exactly the class of CFLs.

Lemma. Each CFL is accepted by some PDA.

Let  $L = L(G)$  for some CFG  $G = (V, \Sigma, R, S)$

Then there is a PDA  $M$  such that  $L = L(M)$ , where  
 $M = (K, \Sigma, \Gamma, \Delta, s, F)$

Intuition: we'll simulate leftmost derivations on the stack.

So  $M = (K, \Sigma, \Gamma, \Delta, s, F)$ , where

$K = \{p, q\}$

$s = p$

$F = \{q\}$

$\Gamma = V$

$\Delta$  contains:

$((p, e, e), (q, S))$	<i>/* starts the derivation */</i>
$((q, e, A), (q, x))$	<i>/* simulates <math>A \rightarrow x</math> */</i>
$((q, a, a), (q, e))$	<i>/* pops terminal symbols <math>a \in \Sigma</math> off stack */</i>

Perhaps best seen in an example.

$E \rightarrow E + E$	$E = \text{"expression"}$
$E \rightarrow E - E$	
$E \rightarrow T$	$T = \text{"term"}$
$T \rightarrow V$	$V = \text{"var"}$
$T \rightarrow C$	$C = \text{"const"}$
$V \rightarrow a$	
$V \rightarrow b$	
$C \rightarrow 0 \mid 1 \mid 2 \mid 3 \mid 4 \mid 5 \mid 6 \mid 7 \mid 8 \mid 9$	

So:

$K = \{p, q\}$

$\Sigma = \{a, b, 0, 1, 2, 3, 4, 5, 6, 7, 8, 9, +, -\}$

$\Gamma = \Sigma \cup \{E, T, V, C\}$

$s = p$

$F = \{q\}$

$\Delta:$	$((p, e, e), (q, E))$	1
	$((q, e, E), (q, E+E))$	2
	$((q, e, E), (q, E-E))$	3
	$((q, e, E), (q, T))$	4
	$((q, e, T), (q, V))$	5
	$((q, e, T), (q, C))$	6
	$((q, e, V), (q, a))$	7
	$((q, e, V), (q, b))$	8

$((q, e, C), (q, 0))$       9 [also for 1-9 on stack]  
 $((q, +, +), (q, e))$       10  
 $((q, -, -), (q, e))$       11  
 etc for a, b, 0, ..., 9

State	Unread Input	Stack	Transition
p	a-b+1	e	-
q	a-b+1	E	1
q	a-b+1	E+E	2
q	a-b+1	E-E+E	3
q	a-b+1	T-E+E	4
q	a-b+1	V-E+E	5
q	a-b+1	a-E+E	
q	-b+1	-E+E	
q	b+1	E+E	
q	b+1	T+E	
q	b+1	V+E	
q	b+1	b+E	
q	+1	+E	
q	1	E	
q	1	T	
q	1	C	
q	1	1	
q	e	e	

Basically, it expands out from the start state, i.e., derives something "legal" on the stack and then checks whether the input matches.

Note: parsers work on this principle. (but why don't parsers work exactly this way?)

Now we need to show that the PDA we've constructed actually accomplishes what we want. i.e., that  $L(M) = L(G)$ .

Claim.  $S \Rightarrow^{L^*} w\alpha$  iff  $(q, w, S) \vdash^* (q, e, \alpha)$ ,

$$\alpha \in (V - \Sigma)V^* \cup \{e\}, w \in \Sigma^*.$$

( $\Rightarrow$ ) Given  $S \Rightarrow^{L^*} w\alpha$ , show  $(q, w, S) \vdash^* (q, e, \alpha)$ .

Proof by induction on the length of the derivation.

Basis. Derivation is of length 0.

Then  $w = e, \alpha = S$ .

So  $(q, w, S) = (q, e, \alpha) \vdash^* (q, e, \alpha)$ .

IH. Assume holds true for derivations of length  $n$  or less,  $n \geq 0$ .

Let  $S = u_0 \Rightarrow^L u_1 \Rightarrow^L u_2 \dots \Rightarrow^L u_n \Rightarrow^L u_{n+1} = w\alpha$ .

Let  $A$  be the leftmost nonterminal of  $u_n$ .

$u_n = xA\beta$  and

$u_{n+1} = x\Gamma\beta$

where

$x \in \Sigma^*$ .

$\Gamma, \beta \in V^*$

$A \rightarrow \Gamma$ .

By the IH,

$(q, x, S) \vdash^* (q, e, A\beta)$

Because  $A \rightarrow \Gamma$  is a rule in  $R$ ,  $((q, e, A), (q, \Gamma))$  is in  $\Delta$ .

So  $(q, x, S) \vdash^* (q, e, A\beta) \vdash (q, e, \Gamma\beta)$ .

Now, note that  $u_{n+1} = x\Gamma\beta = w\alpha$ .

So there exists  $y \in \Sigma^*$  such that  $w = xy$  and  $y\alpha = \Gamma\beta$ .

So, we can write  $(q, w, S) = (q, xy, S) \vdash^* (q, y, A\beta) \vdash (q, y, \Gamma\beta)$ .

But since  $y\alpha = \Gamma\beta$ ,  $(q, y, \Gamma\beta) = (q, y, y\alpha) \vdash^* (q, e, \alpha)$ .

So,  $(q, w, S) \vdash^* (q, e, \alpha)$ .

**Won't do the other direction in class.**